

# **Solar Energetic Particles (SEPs) and Impacts**

*Yihua Zheng*

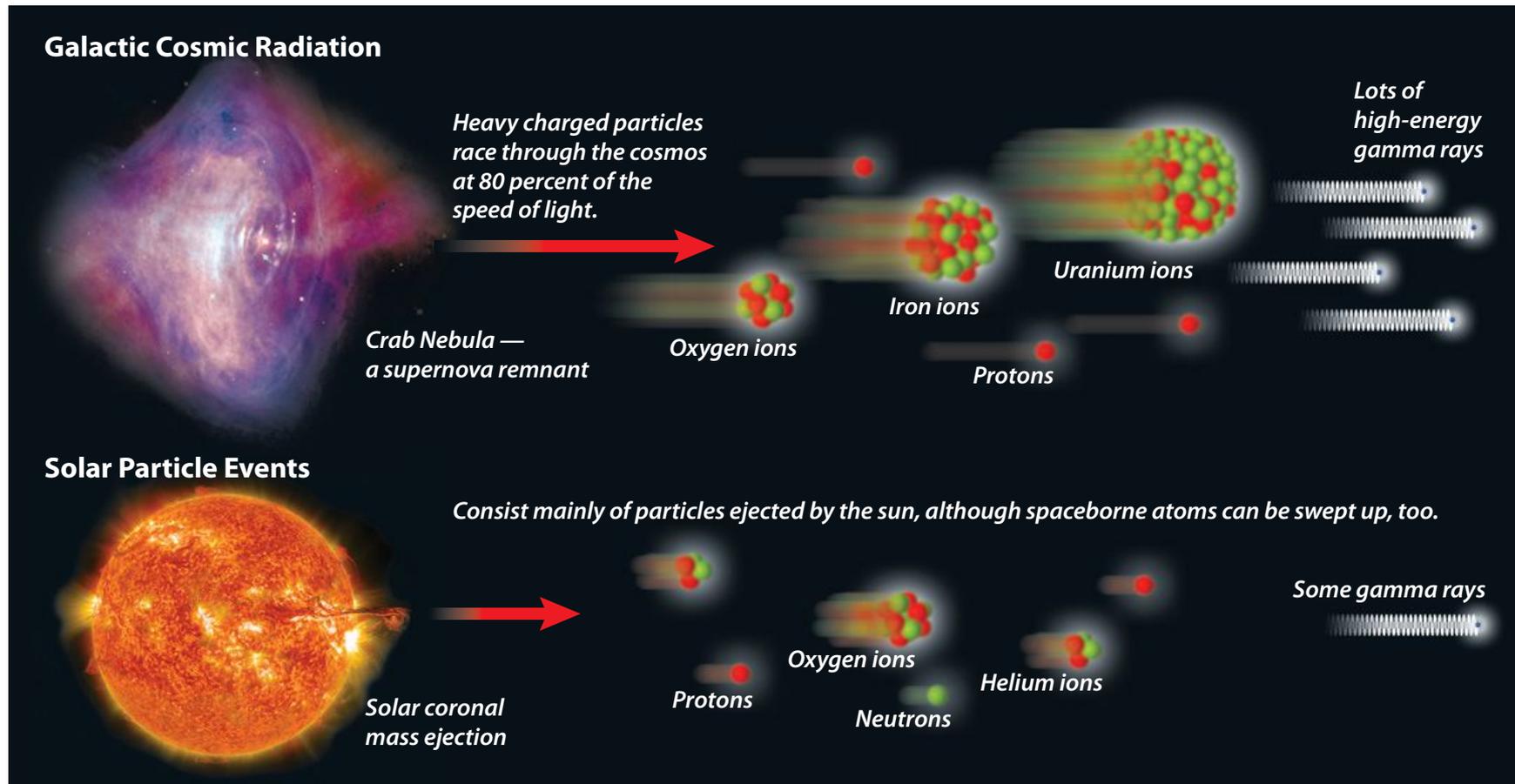
**Space Weather Training at KSC, Feb 2 – 4, 2016**

# SEPs – important source of space radiation: hard to predict

## Deep space dangers

Mars explorers will need protection from galactic cosmic radiation, which researchers say would plow into cells like molecular artillery.

## Galactic Cosmic Radiation (GCR) -- another source



# SEPs: What are they?

## *Definition:*

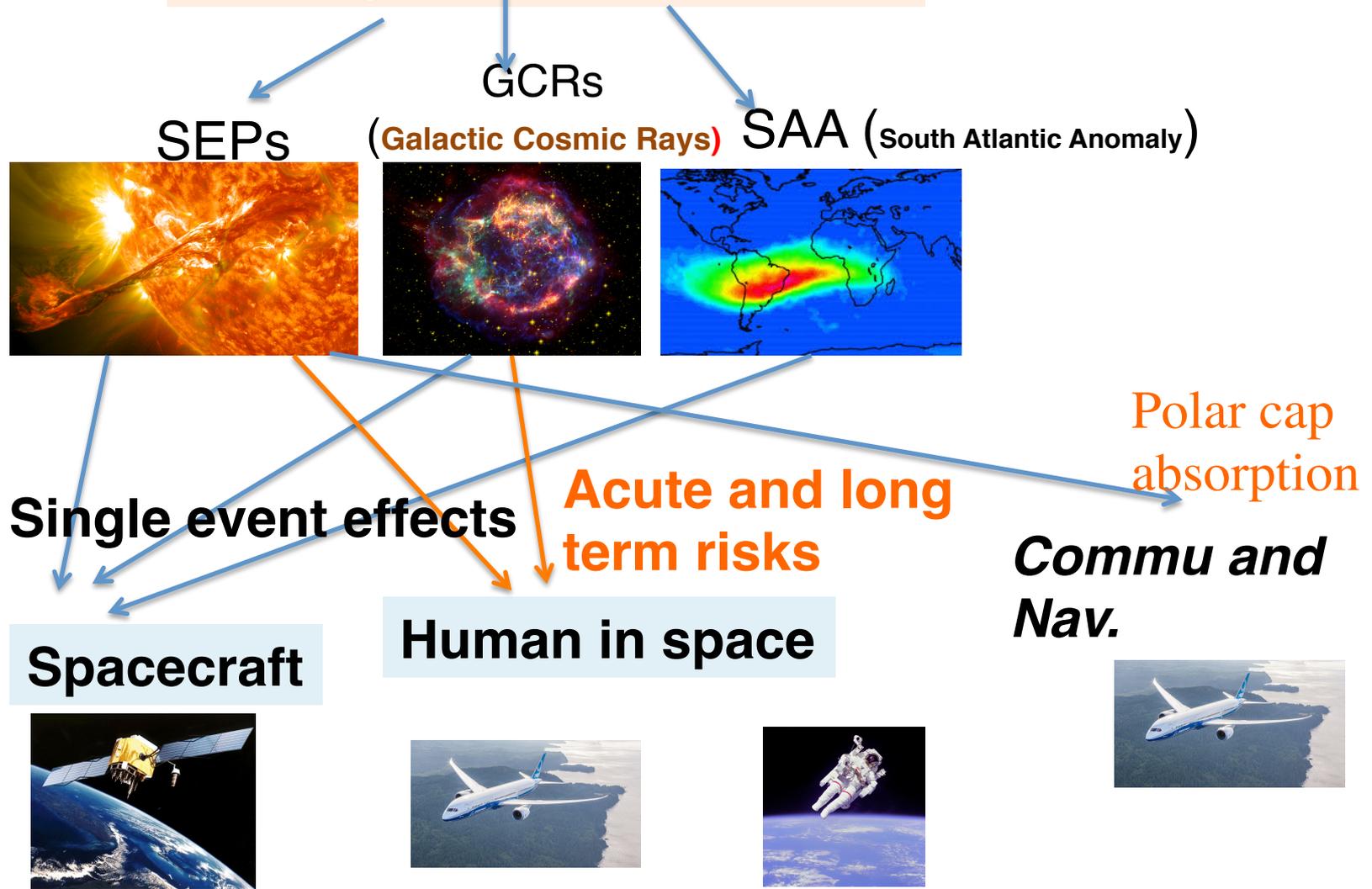
Energetic charged particles (such as electrons protons and other heavy ions) traveling much faster than ambient particles in the space plasma, at a fraction of the speed of light (relativistic!).

They can travel from the Sun to the Earth in one hour or less!

The term “SEP” usually refers to protons.

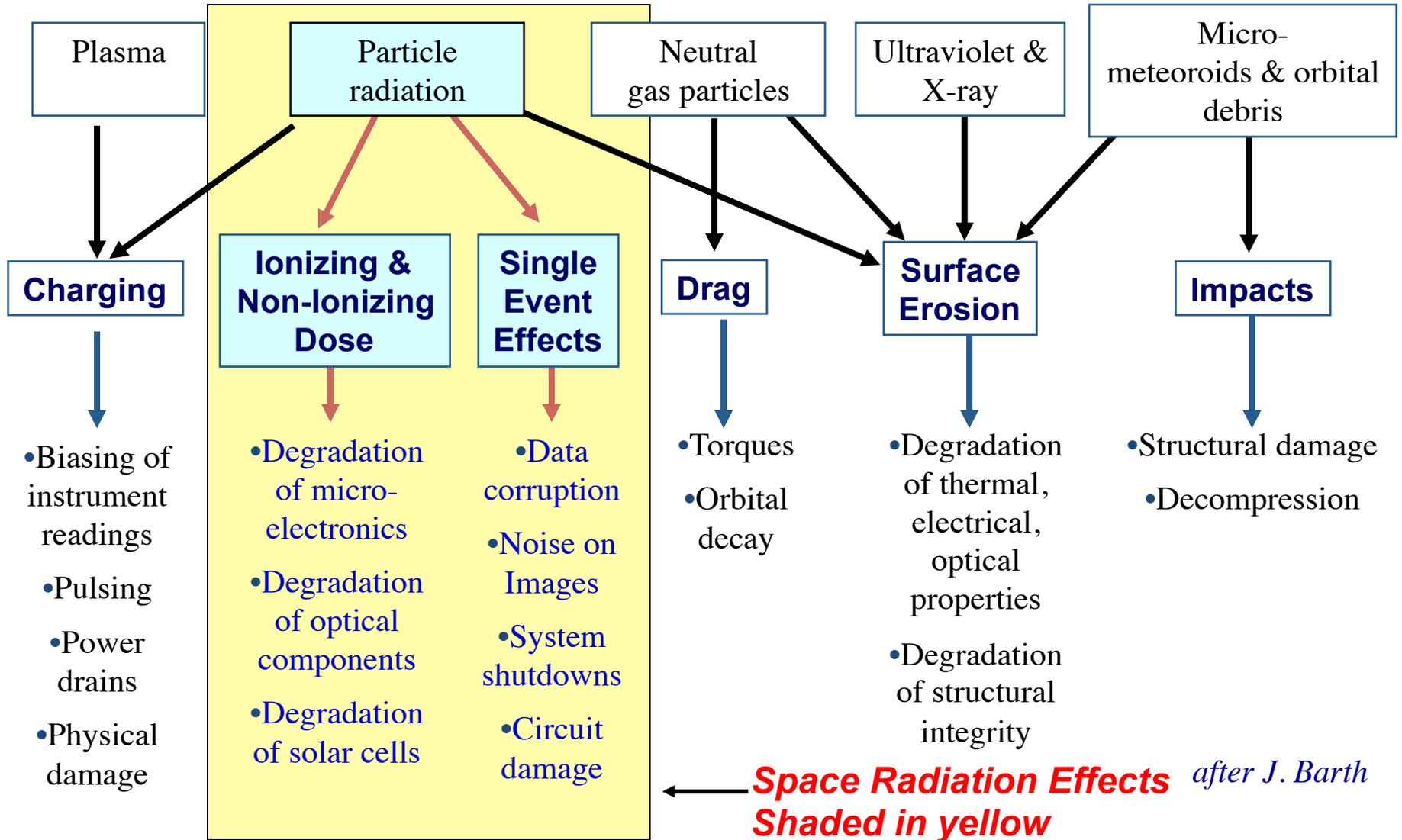
# Why do we care?

## Ionizing radiation storms



Also: Total ionizing dose (TID) and displacement damage dose (DDD)

# Space Environments and Effects on Spacecraft



**Flares**

**Coronal  
Mass  
Ejections**

**Solar  
energetic  
particles  
(SEPs)**

# SEPs: ion radiation storms

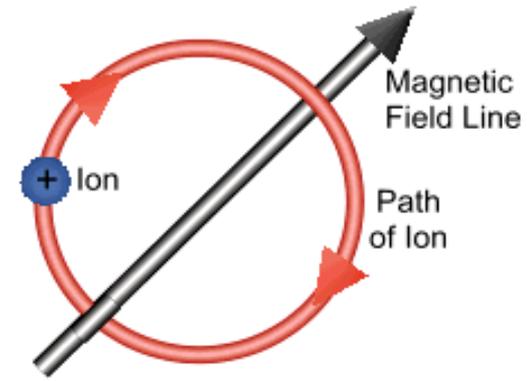
Potentially affect everywhere in the solar system



Courtesy: SVS@ NASA/GSFC

# Magnetic fields guide SEPs

Charged particle motion\* is confined by the magnetic field.

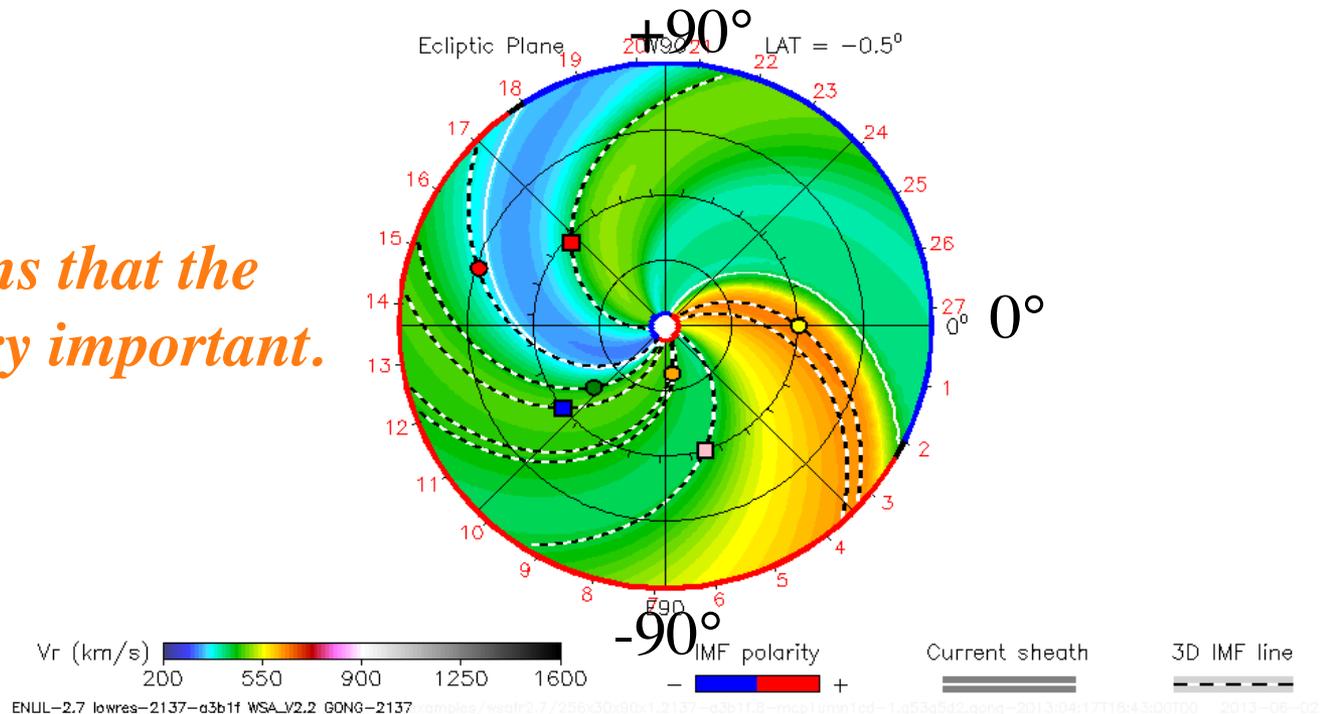


2013-06-02T12:00

2013-05-10T18 +22.73 days

● Earth ● Mars ● Mercury ● Venus ■ Juno ■ Spitzer ■ Stereo\_A ■ Stereo\_B

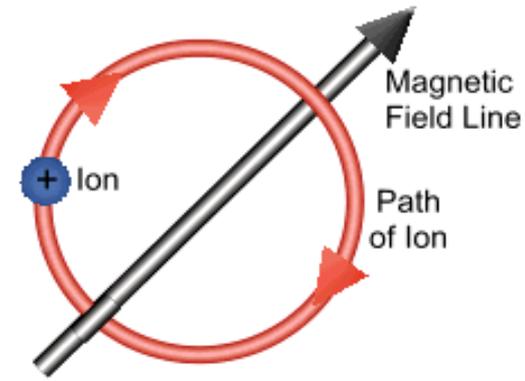
*This means that the source is very important.*



\*in a substantially strong B

# Magnetic fields guide SEPs/ magnetic connectivity

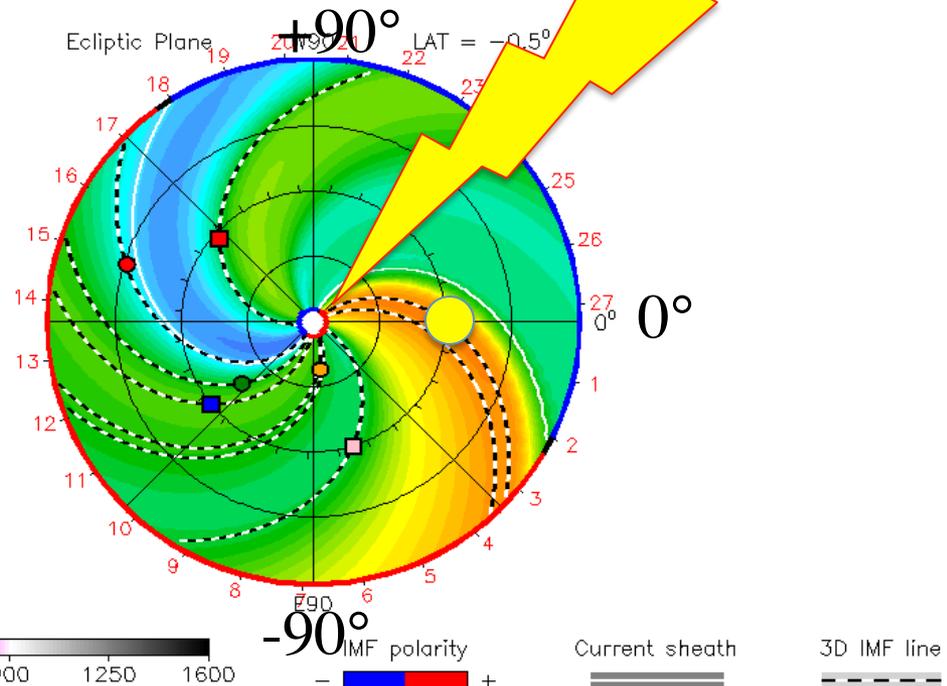
Charged particle motion\* is confined  
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2013-05-10T18 +22.73 days

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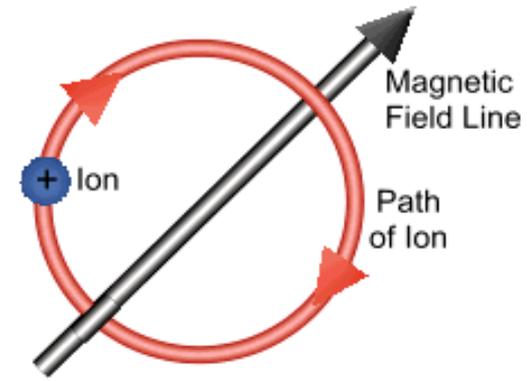
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ENUL-2.7 lowres-2137-a3b1f WSA\_V2.2 GONG-2137 [samples/wsa/v2.7/256x30x30x1.2137-a3b1f.8-mcp1imm1cd-1.g53q5d2.gong-2013-04-17T18:43:00.000](#) 2013-06-02

\*in a substantially strong B

# Magnetic fields guide SEPs

Charged particle motion\* is confined by the magnetic field.

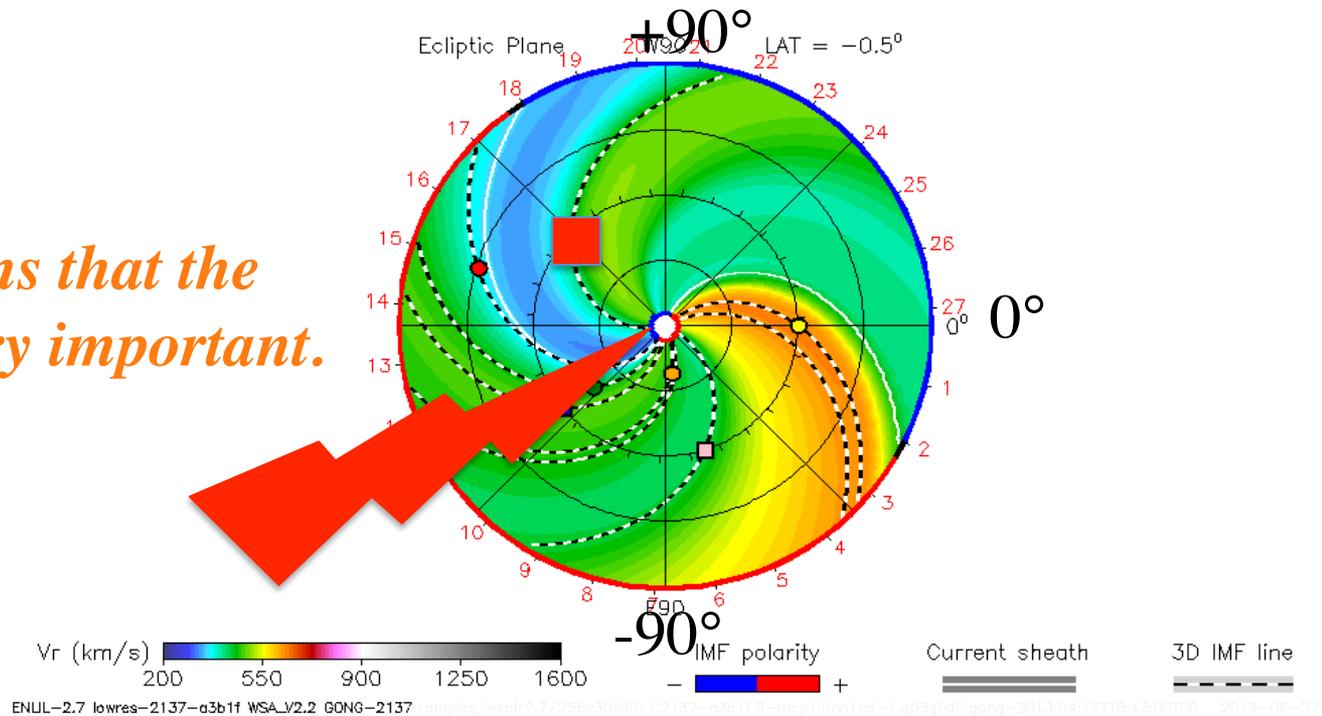


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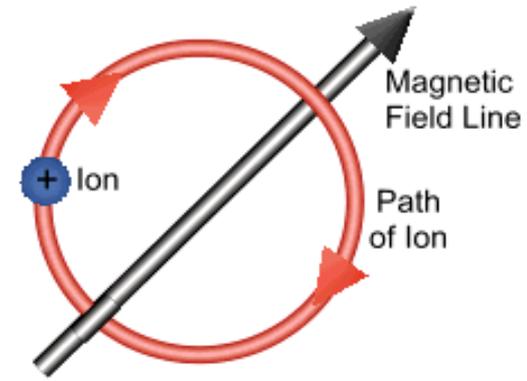


ENUL-2.7 lowres-2137-a3b1f WSA\_V2.2 GONG-2137 [samples/wsafr2.7/256x30x30x1.2137-a3b1f.8-mcpl/urn1ed-1.g53q5d2.gong-2013/04/17T18:43:00T00](#) 2013-06-02

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# Magnetic fields guide SEPs

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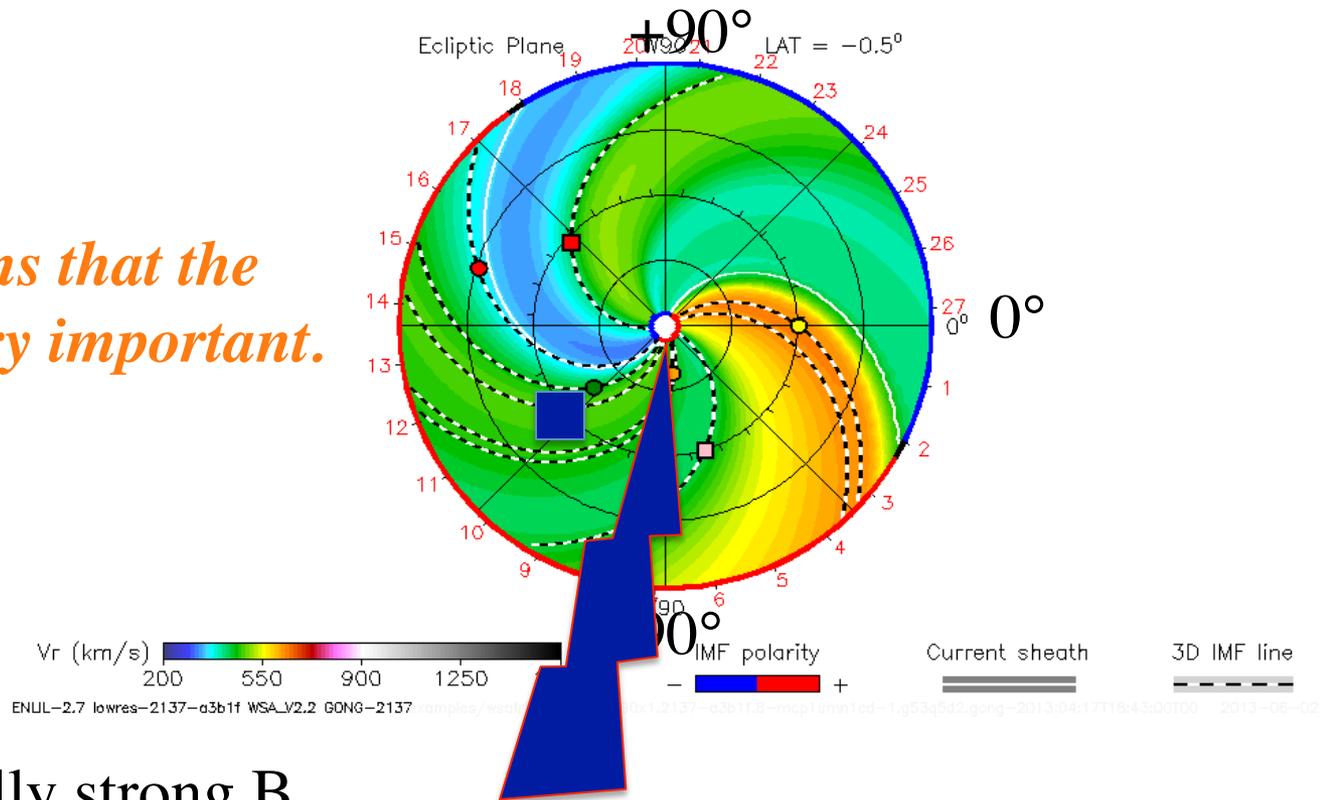


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2013-05-10T18 +22.73 days

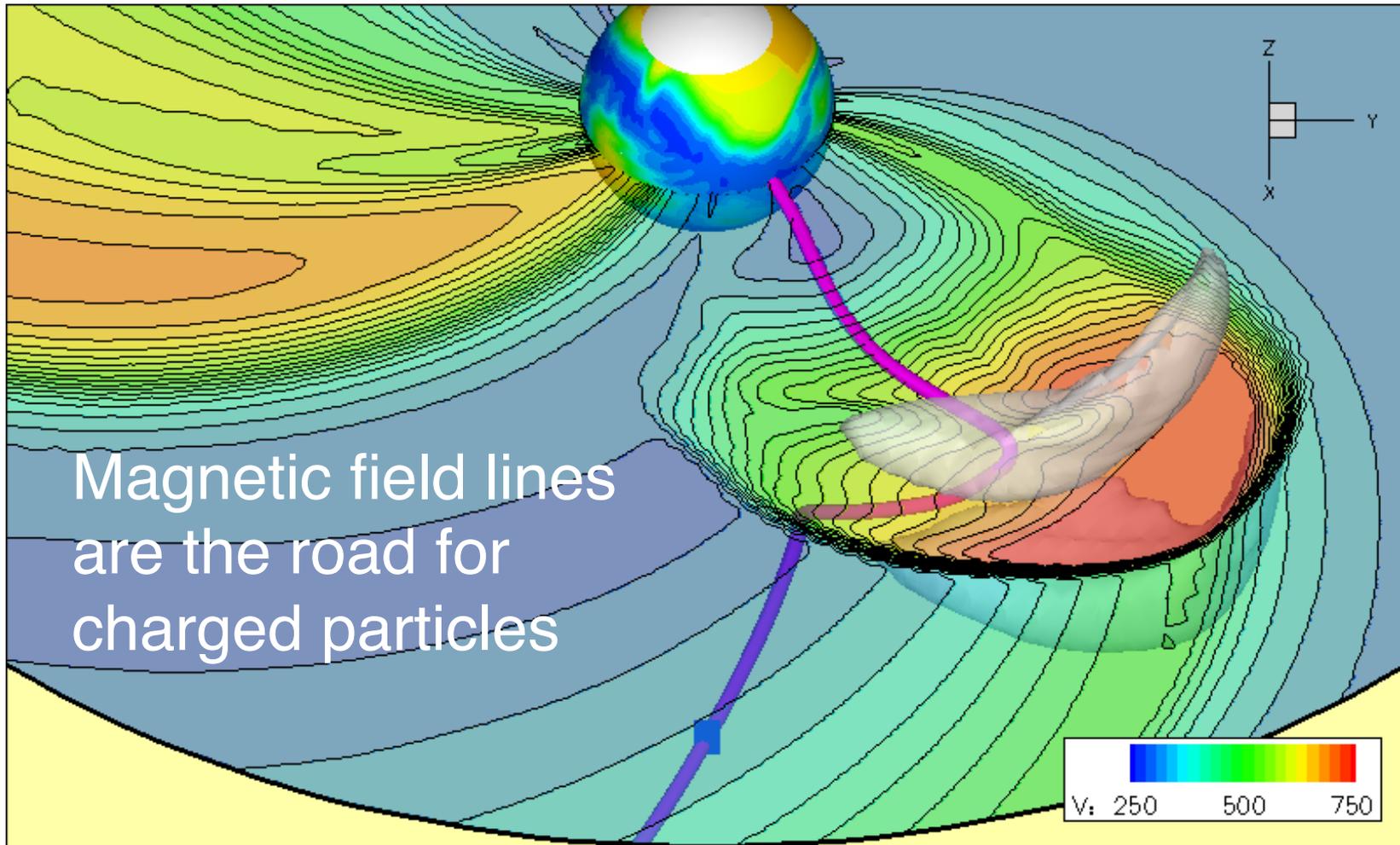
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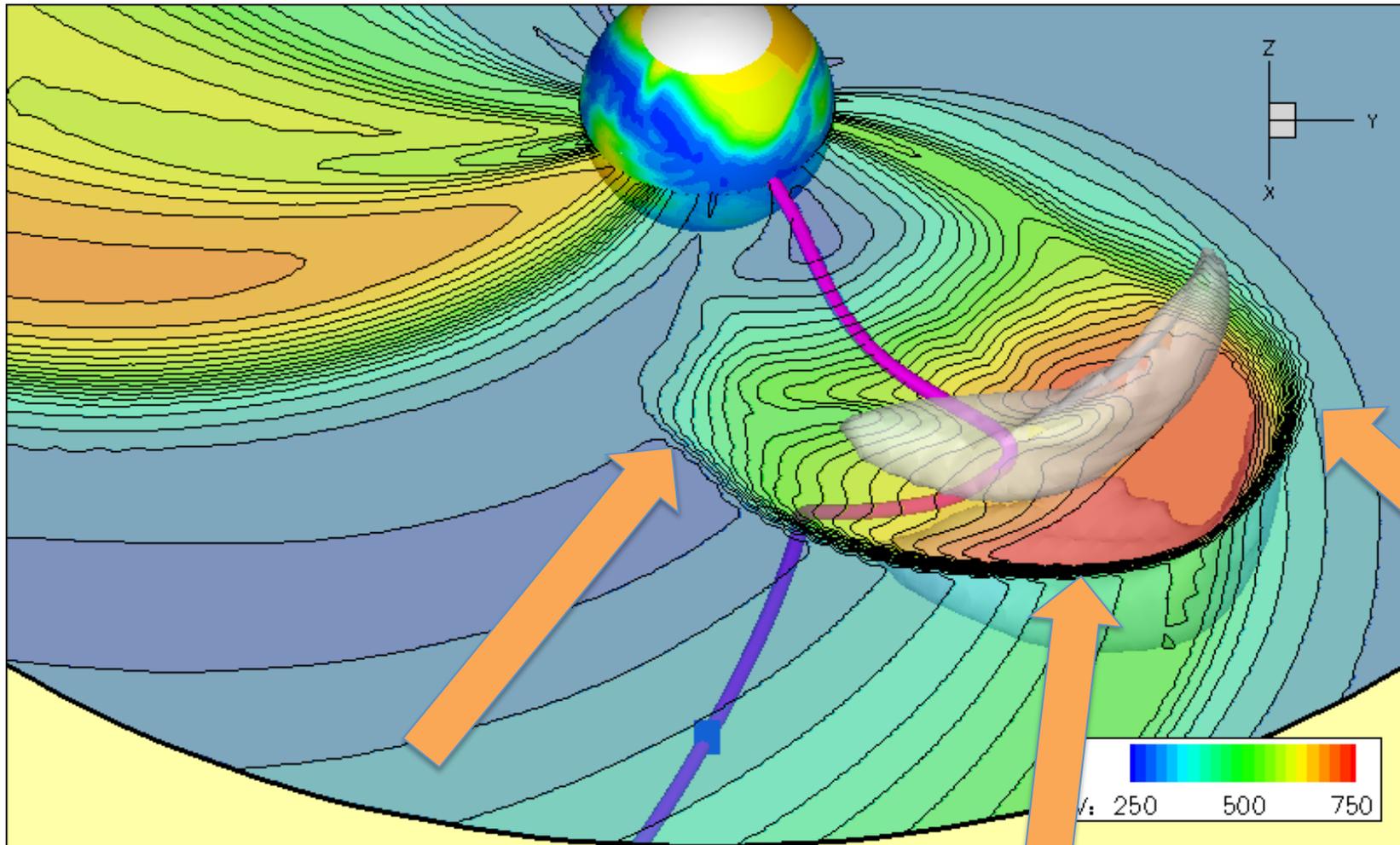


\*in a substantially strong B

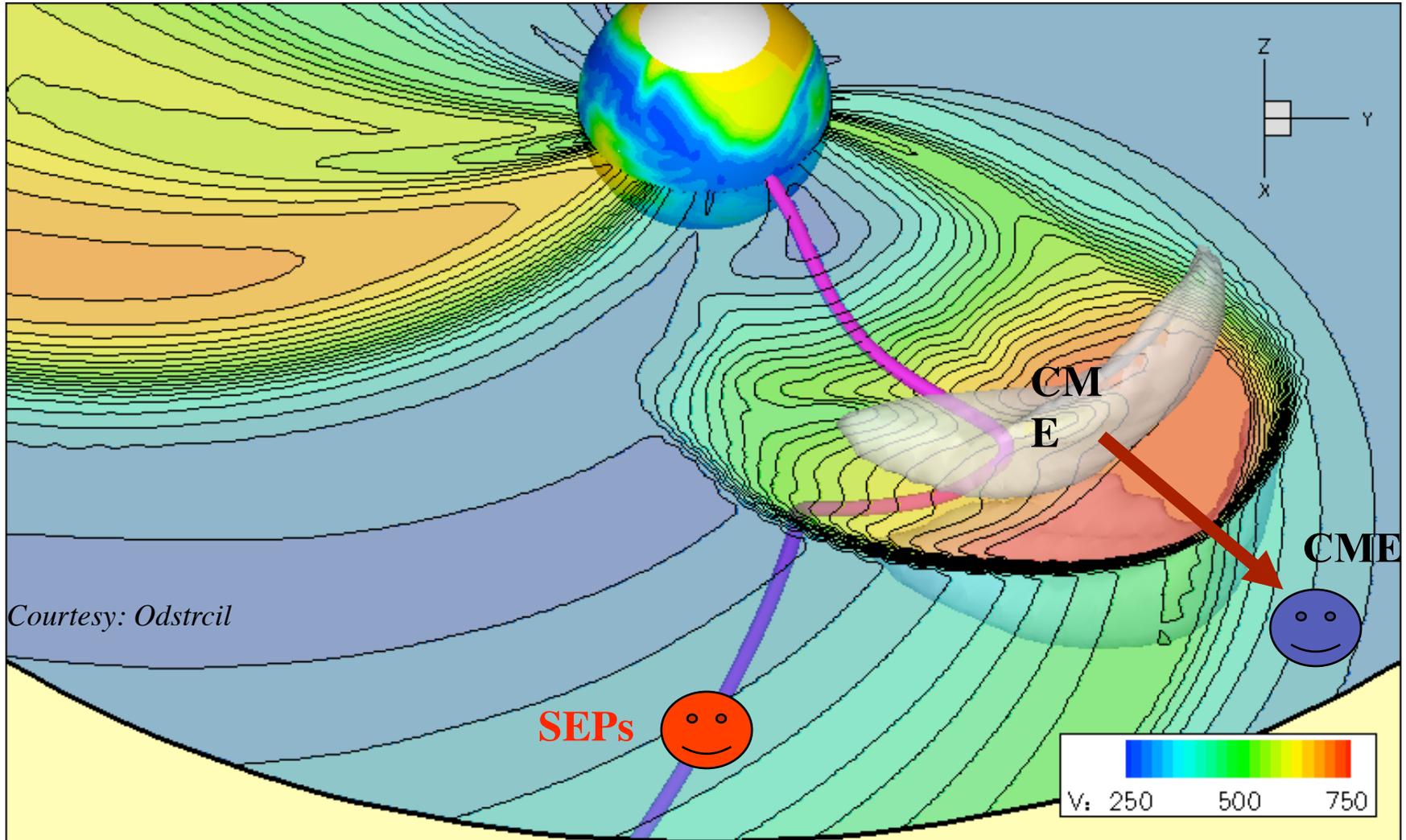
# CMEs Can Widen Longitudinal Extent of SEP Events



# CMEs Can Widen Longitudinal Extent of SEP Events



# CME and SEP path are different



CME: could get deflected, bended, but more or less in the radial direction

# How Do We Monitor SEP Levels?

(1 pfu = 1 particle flux unit =  $1/\text{cm}^2/\text{sec}/\text{sr}$ )

*Track the particle flux at different locations.*

*Flux units: pfu, pfu/MeV*

- **Heliosphere with STEREO In-situ Measurements of Particles and CME Transients (IMPACT)**
  - **Differential energy band; Units measured, some energy ranges are:**
- **Upstream of Earth with SOHO/COSTEP**
  - **Units measured, some energy ranges are:**
- **Geostationary Orbit with GOES**
  - **Integral flux, Units measured, some energy ranges are: pfu particle flux unit**

*Another useful quantity:*

*Fluence = flux integrated over the entire event.*

*Important for biological effects (flights)*

# SEP Intensity

Event magnitudes:

> 10 MeV/nucleon integral  
fluence: can exceed  $10^9 \text{ cm}^{-2}$

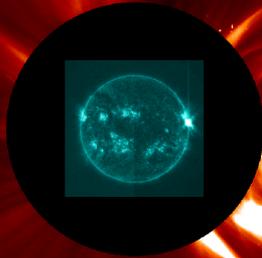
> 10 MeV/nucleon peak flux: can  
exceed  $10^5 \text{ cm}^{-2}\text{s}^{-1}$

# PARTICLE SNOW!

## Coronagraph acting as particle detector



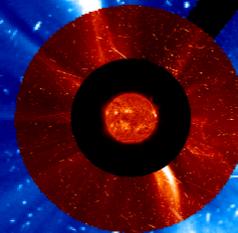
*Flare peaked at 01:47 UT*



*SDO AIA 131 Å + SOHO/LASCO C2  
May 17 02:00 UT*

[www.helioviewer.org](http://www.helioviewer.org)

*One hour later*



*SOHO/LASCO C3  
May 17 03:00 UT*

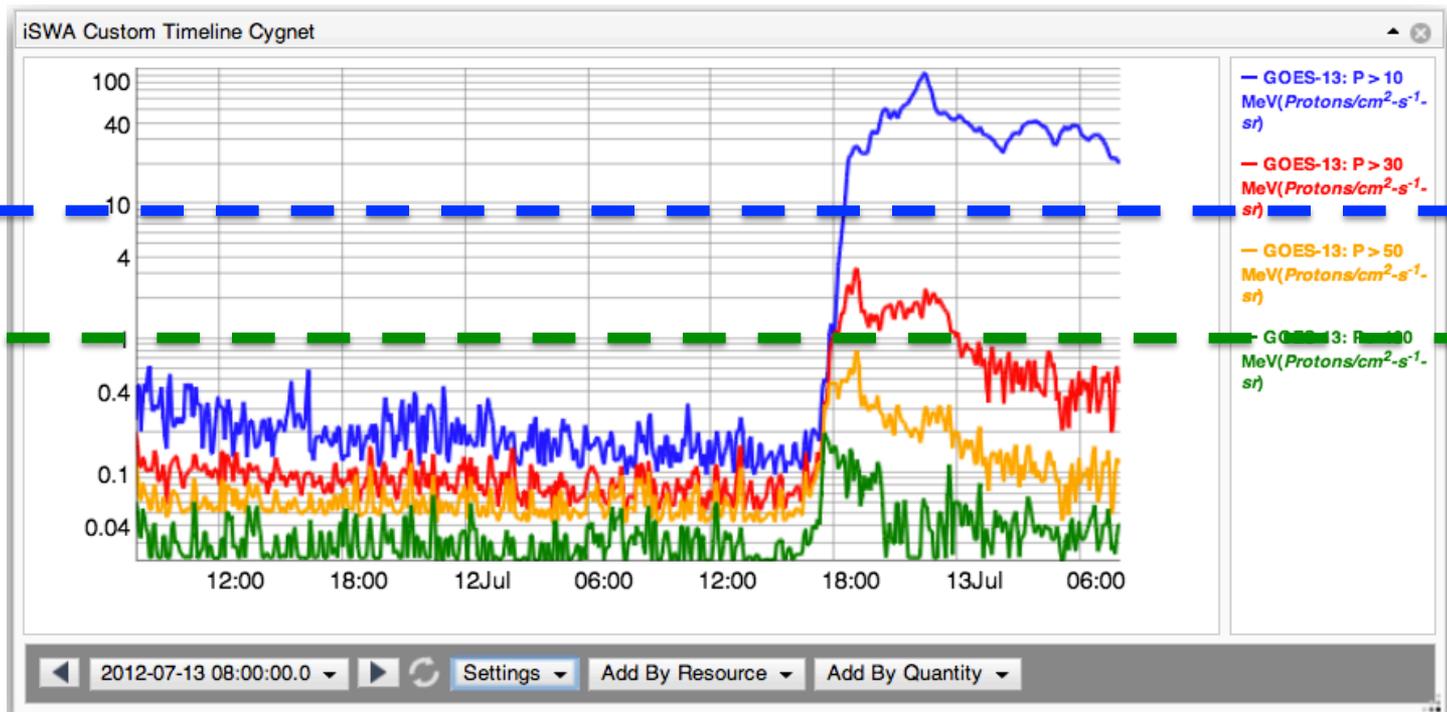
AA 131  
LASCO C2  
2012-05-17 01:59:49  
2012-05-17 02:00:06

# How do we define an SEP Event?

*SWRC: SEP event detections are defined as:*

*GOES Proton  $E > 10$  MeV channel  $> 10$  pfu*

*GOES Proton  $E > 100$  MeV channel  $> 1$  pfu*



# How Do We Quantify an SEP Event?

## NOAA Space Weather Scale for Solar Radiation Storms

Category		Effect	Physical measure	Average Frequency (1 cycle = 11 years)
Scale	Descriptor	Duration of event will influence severity of effects		
<b>Solar Radiation Storms</b>			Flux level of $\geq 10$ MeV particles (ions)*	Number of events when flux level was met (number of storm days**)
S 5	Extreme	<p><b>Biological:</b> unavoidable high radiation hazard to astronauts on EVA (extra-vehicular activity); passengers and crew in high-flying aircraft at high latitudes may be exposed to radiation risk.***</p> <p><b>Satellite operations:</b> satellites may be rendered useless, memory impacts can cause loss of control, may cause serious noise in image data, star-trackers may be unable to locate sources; permanent damage to solar panels possible.</p> <p><b>Other systems:</b> complete blackout of HF (high frequency) communications possible through the polar regions, and position errors make navigation operations extremely difficult.</p>	$10^5$	Fewer than 1 per cycle
S 4	Severe	<p><b>Biological:</b> unavoidable radiation hazard to astronauts on EVA; passengers and crew in high-flying aircraft at high latitudes may be exposed to radiation risk.***</p> <p><b>Satellite operations:</b> may experience memory device problems and noise on imaging systems; star-tracker problems may cause orientation problems, and solar panel efficiency can be degraded.</p> <p><b>Other systems:</b> blackout of HF radio communications through the polar regions and increased navigation errors over several days are likely.</p>	$10^4$	3 per cycle
S 3	Strong	<p><b>Biological:</b> radiation hazard avoidance recommended for astronauts on EVA; passengers and crew in high-flying aircraft at high latitudes may be exposed to radiation risk.***</p> <p><b>Satellite operations:</b> single-event upsets, noise in imaging systems, and slight reduction of efficiency in solar panel are likely.</p> <p><b>Other systems:</b> degraded HF radio propagation through the polar regions and navigation position errors likely.</p>	$10^3$	10 per cycle
S 2	Moderate	<p><b>Biological:</b> passengers and crew in high-flying aircraft at high latitudes may be exposed to elevated radiation risk.***</p> <p><b>Satellite operations:</b> infrequent single-event upsets possible.</p> <p><b>Other systems:</b> small effects on HF propagation through the polar regions and navigation at polar cap locations possibly affected.</p>	$10^2$	25 per cycle
S 1	Minor	<p><b>Biological:</b> none.</p> <p><b>Satellite operations:</b> none.</p> <p><b>Other systems:</b> minor impacts on HF radio in the polar regions.</p>	10	50 per cycle

# Human Safety in Space

- GCR
- **SEP**

Johnson Space Center/Space Radiation  
Analysis Group (SRAG)

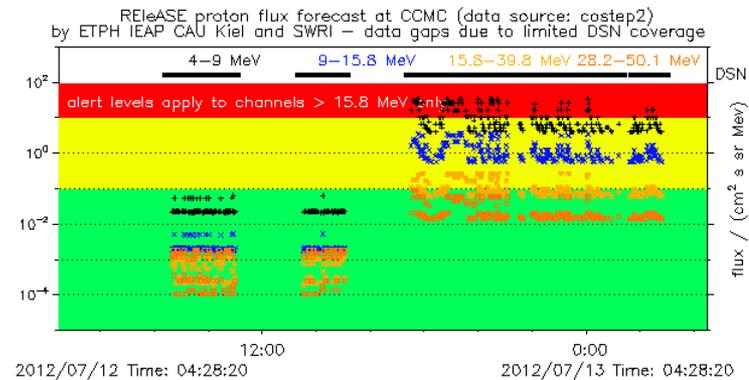
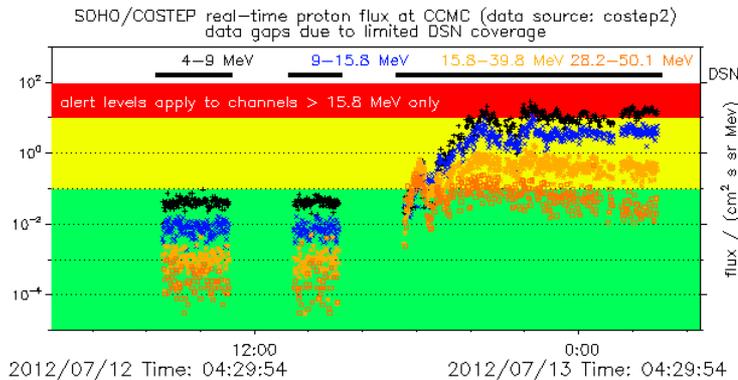
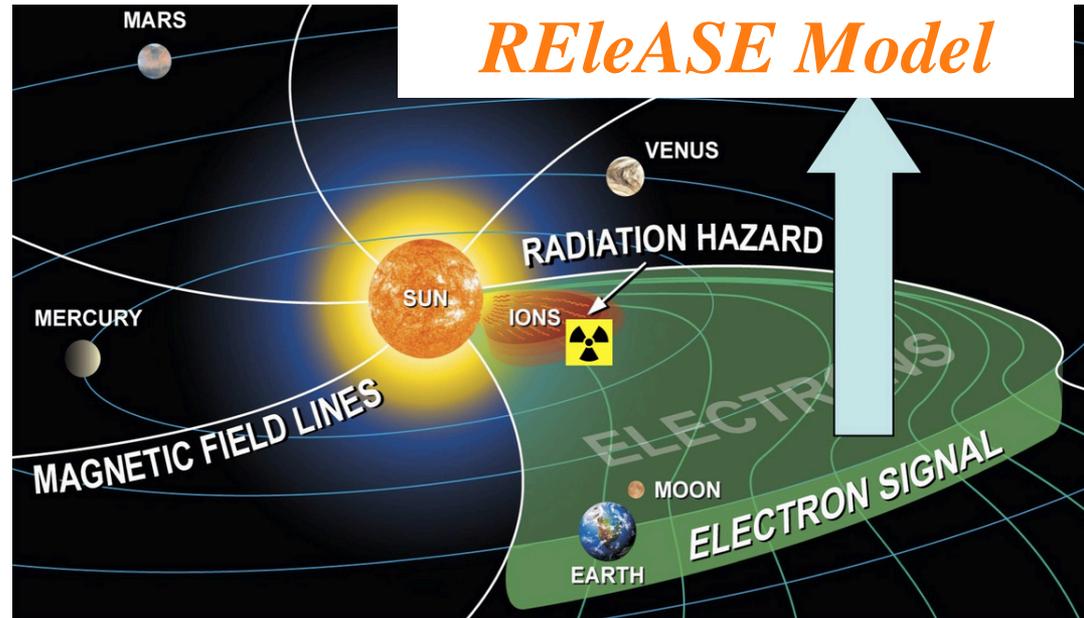
Limit: the  $> 100$  MeV flux exceeding 1 pfu  
(1 pfu = 1 particle flux unit =  $1/\text{cm}^2/\text{sec}/\text{sr}$ )

- All clear (EVA –extravehicular activity)

# Can we predict SEP events?

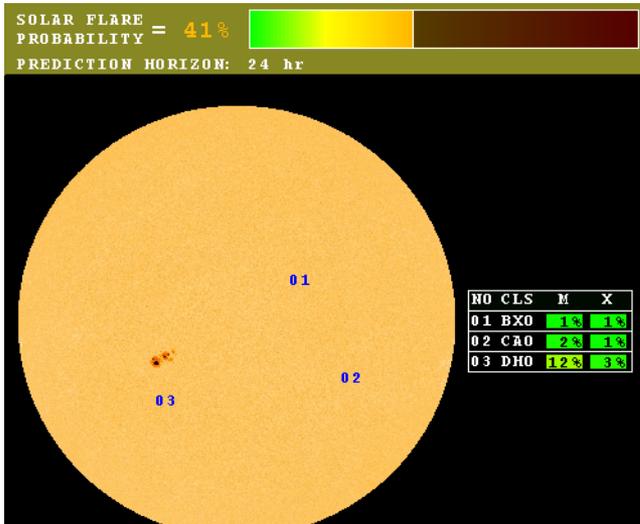
Uses detection of high energy \*electrons\* to predict arrival of high energy \*protons\*

Data source: SOHO  
COSTEP



# SEP Prediction (active region)

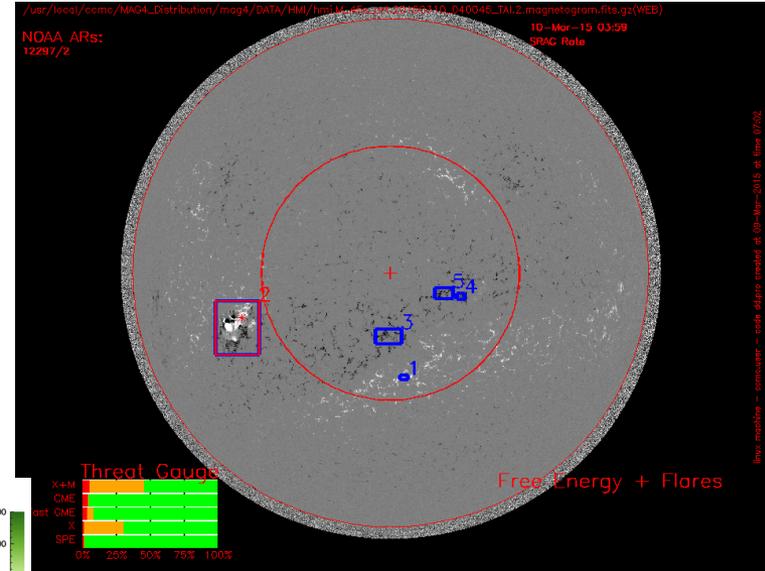
x2.2 flare on march 11, 2015



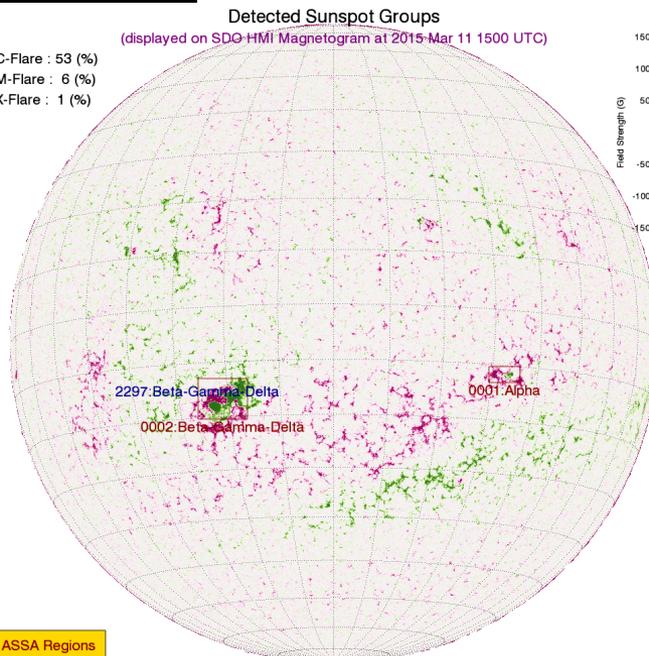
SOLAR FLARE MONITOR  
Generated by ASAP <http://spaceweather.gov>  
11/ 3/2015 15:00 UTC UNIVERSITY OF BRISTOL

C-Flare : 53 (%)  
M-Flare : 6 (%)  
X-Flare : 1 (%)

ASAP



MAG4



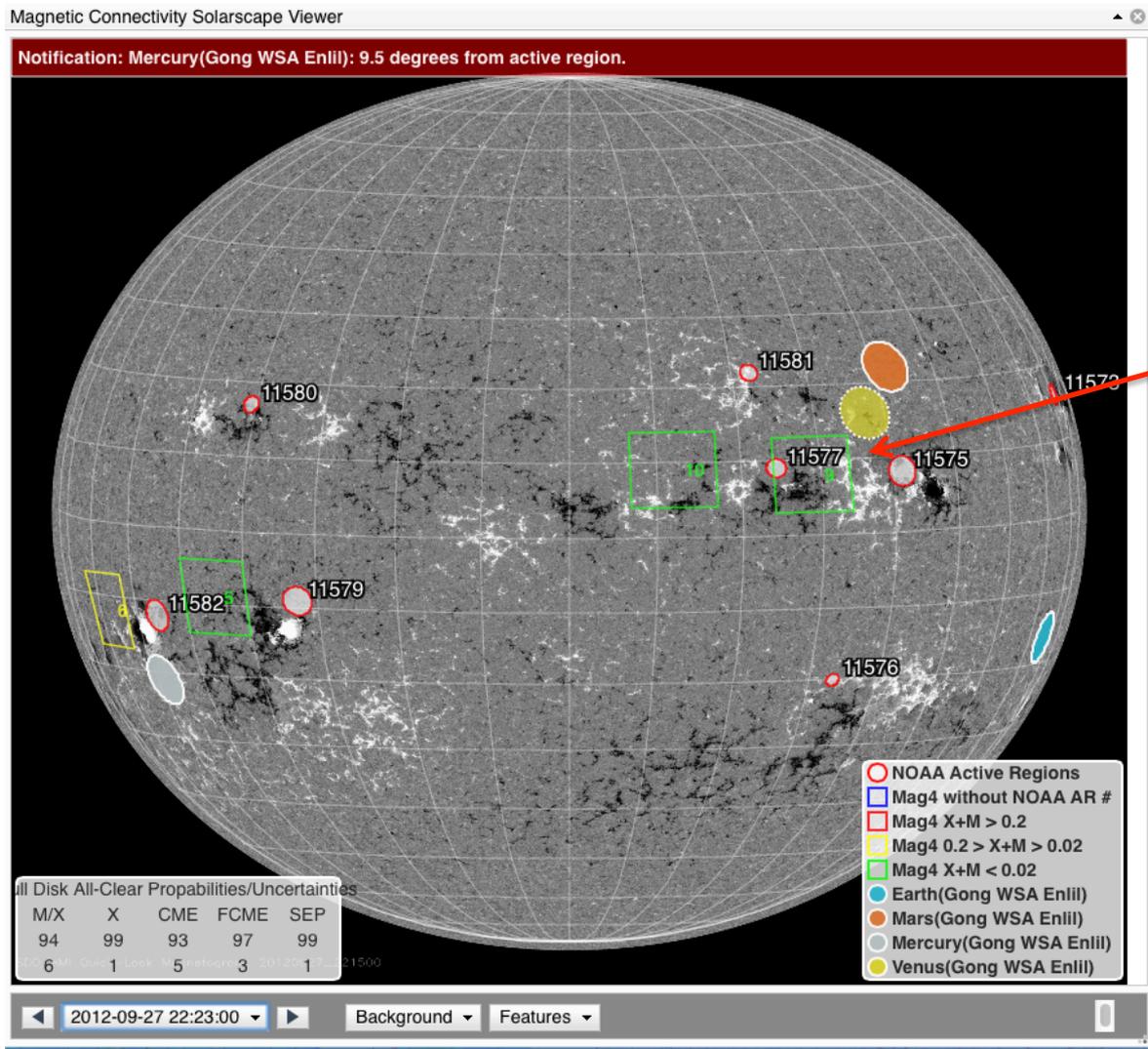
ASSA

ASSA Regions  
NOAA Regions

SRS Issue Date : 2015 Mar 11 0030 UTC

Wolf Number : 26

# Solarscape/magnetic connectivity



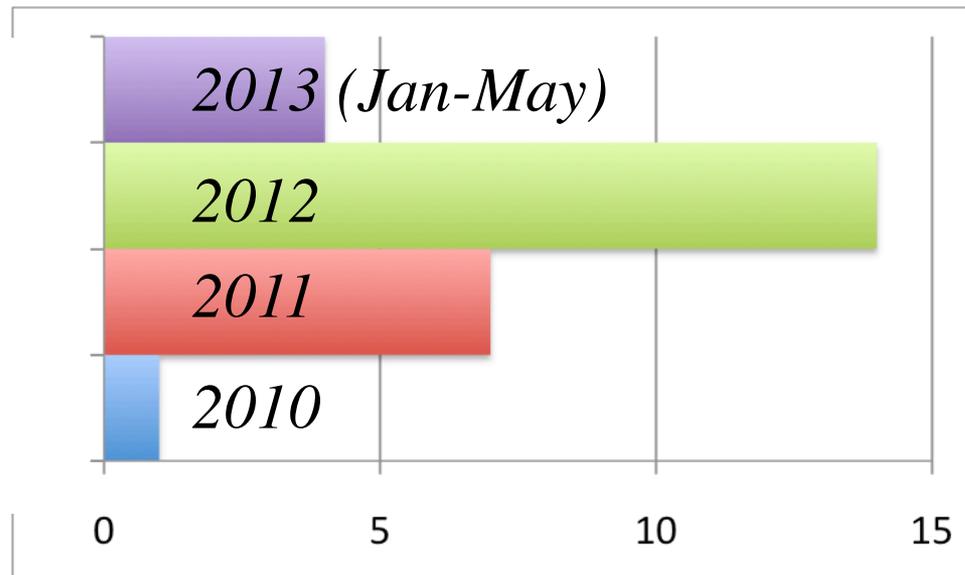
C3.7 class flare  
AR 11577

# How Often Do SEP Events Occur?

*SEP event detections in the near-Earth environment  
(GOES 13, Proton  $E > 10$  MeV channel)*

*2007-2009: Zero Events - Solar Minimum Indeed!*

*Total Events*

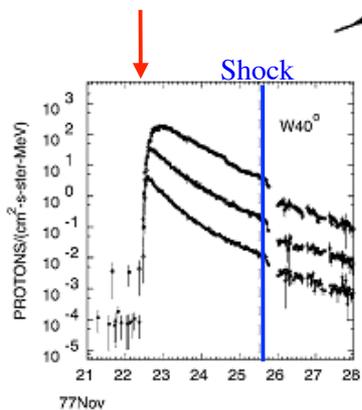


*Since March 2011  
STEREO A: 16  
STEREO B: 11*

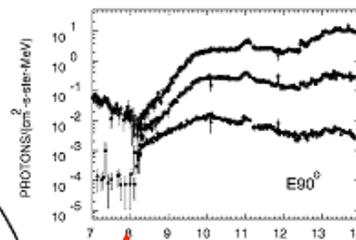
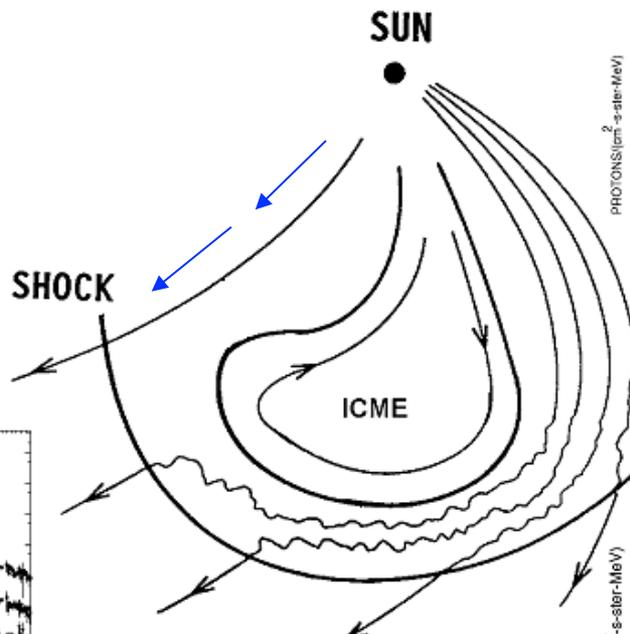
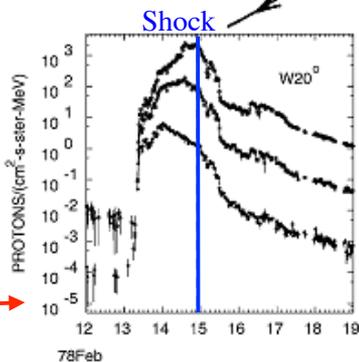
*Recognizing profile shapes of SEP flux and associating it with the source/driver*

# East-West Asymmetry in Solar Proton Events; Intensity Profiles at ~5, ~15 and ~30 MeV (adapted from *Cane et al., 1988*)

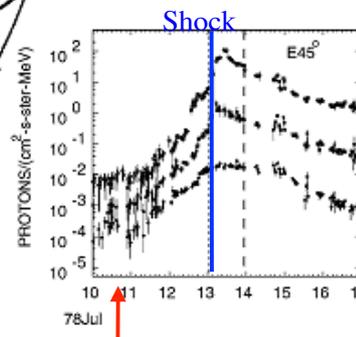
Western event;  
S/C is well connected to solar event; prompt particle rise to peak then decay; weak shock flank may be encountered.



Near Central Meridian event;  
Reasonably prompt rise; Peak, especially at lower energies, is typically near shock passage.



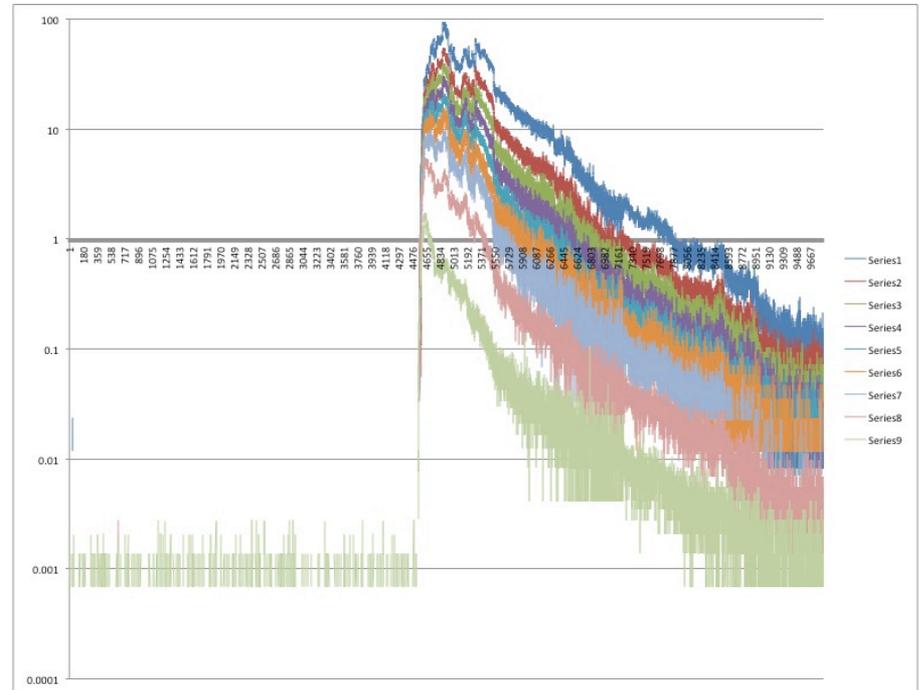
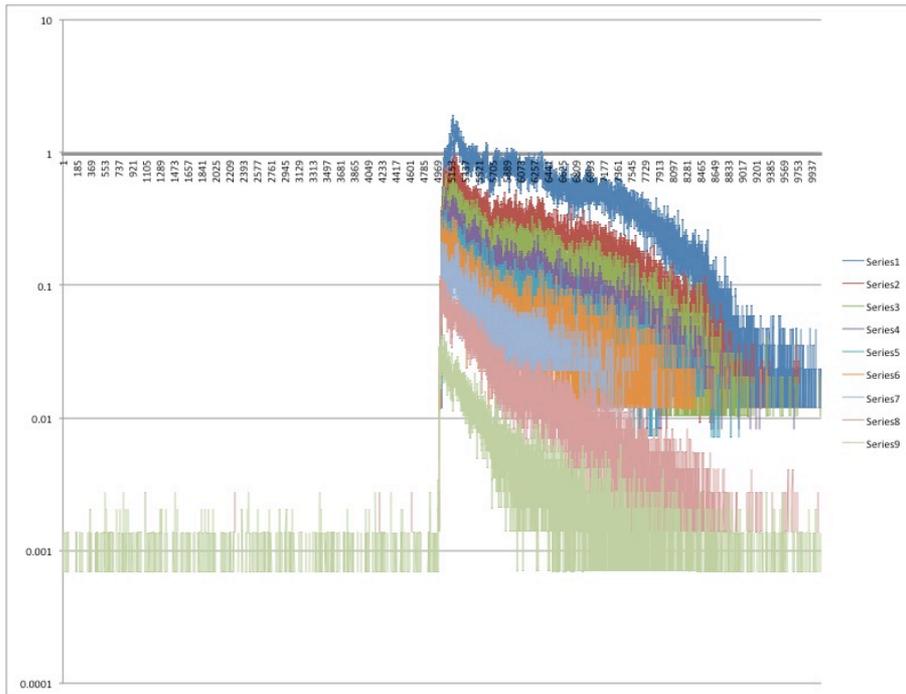
Far Eastern event: S/C is very poorly connected. Gradual rise, may have extended duration as populated field lines corotate to S/C.



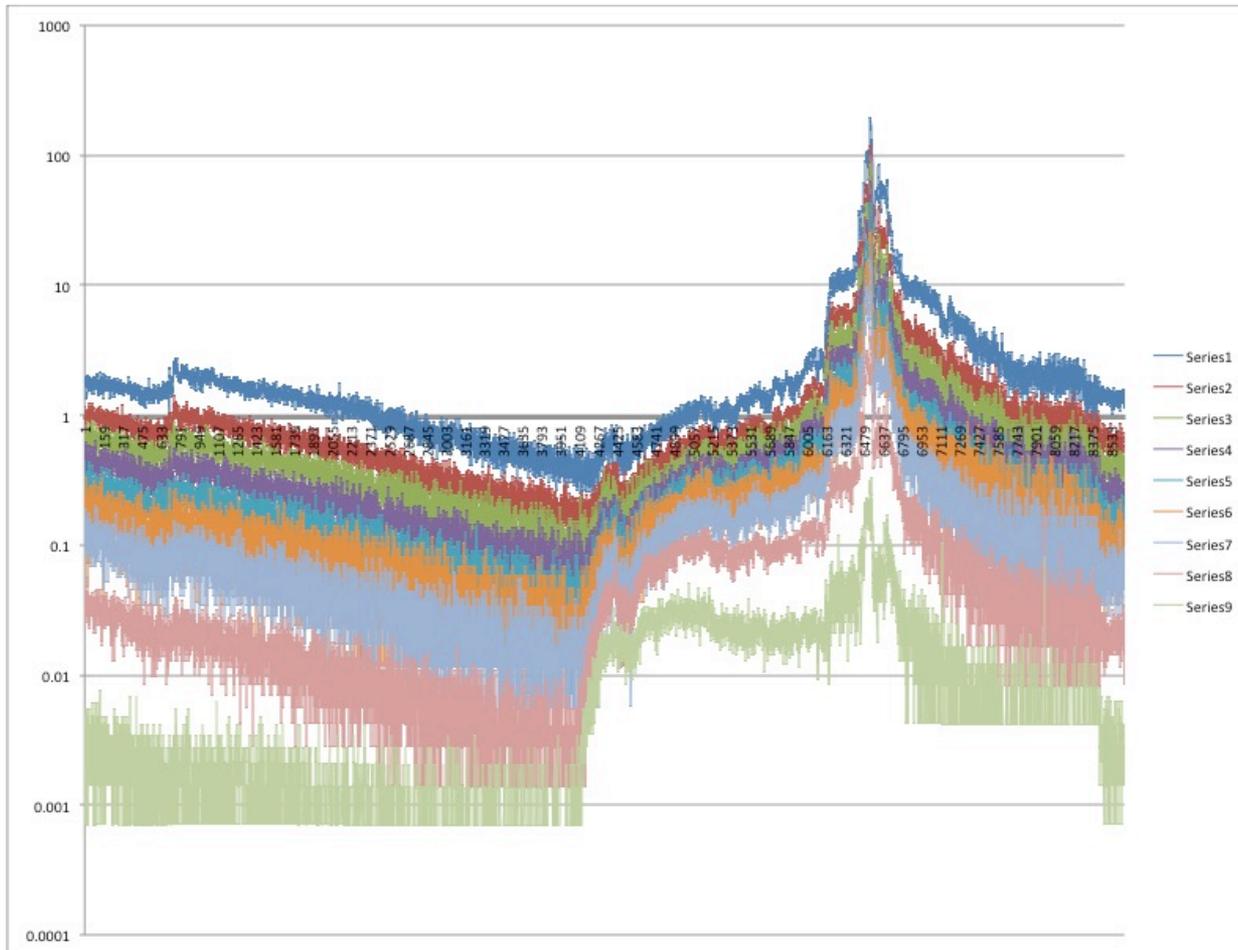
Eastern event: S/C is poorly connected to solar event. Gradual rise, peak near/after shock flank passage.

Synthesis of observations of 235 events over 20 years. Different intensity-time profiles are ordered by the varying connection to the solar event and shock.

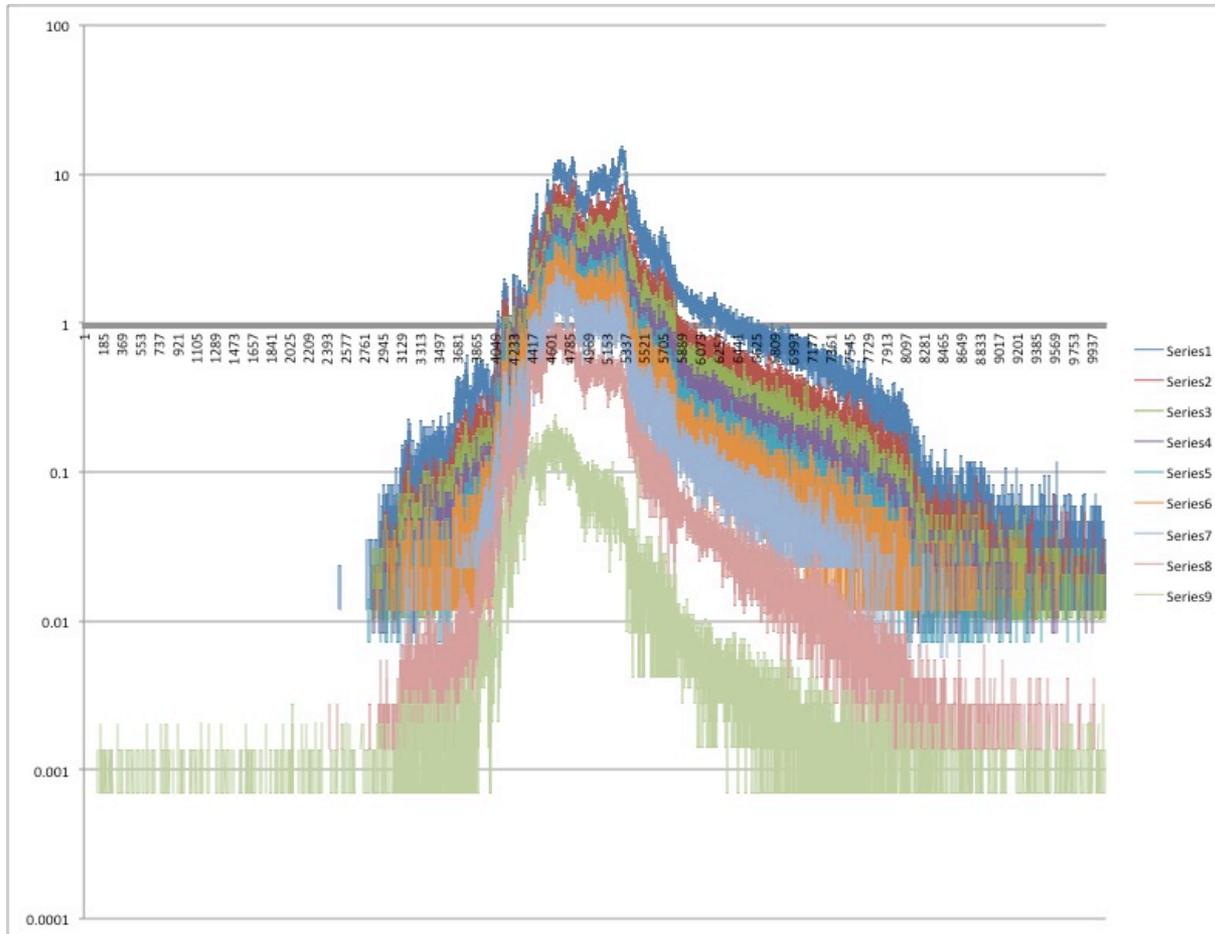
Impulsive: The “peak at the beginning due to flare, fall off” – indicates how well connected you are to the source (timing)



Gradual: The “jump up from flare/CME, slow rise  
Then peak when the ICME passes the spacecraft”



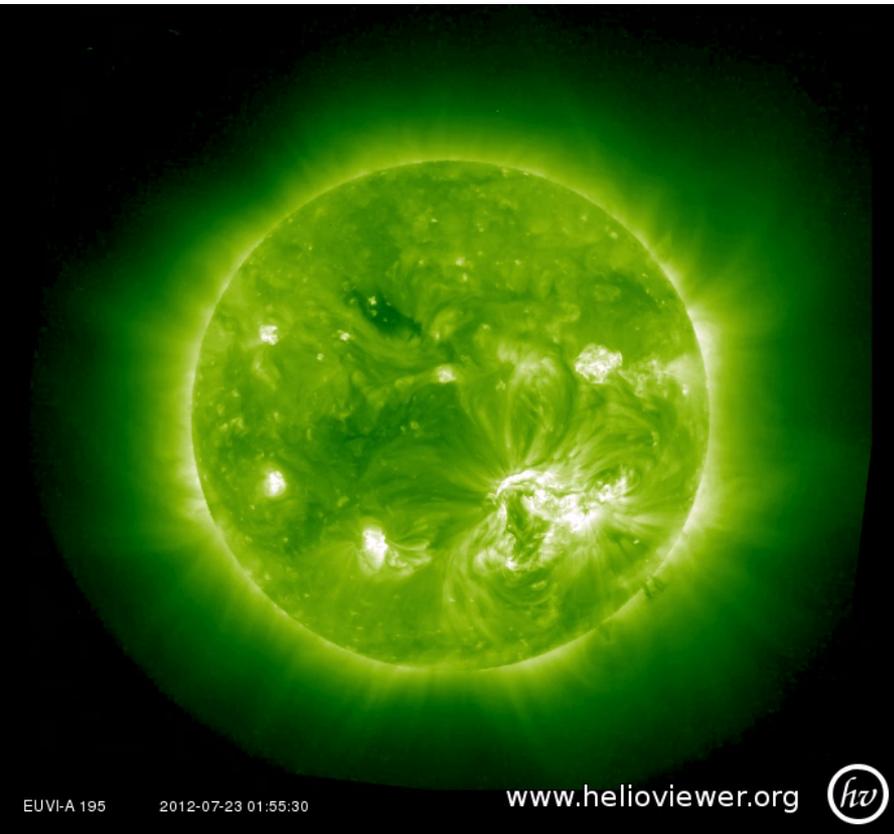
The “slow rise then peak, (slow rise can let you know that you are not well connected  
ICME doesn’t hit spacecraft so falls off”



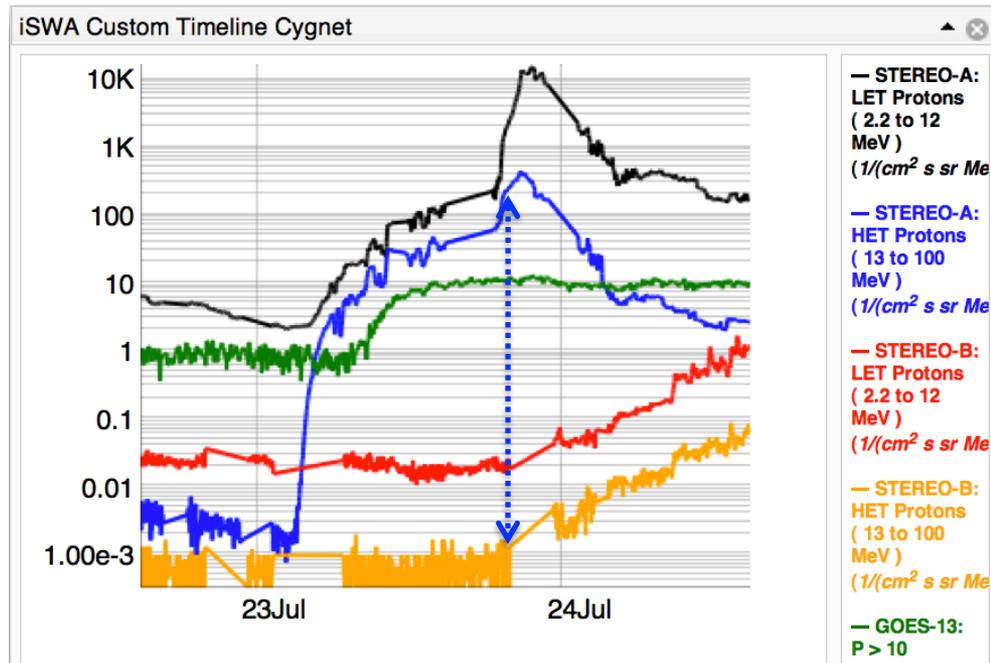


# July 23, 2012

Example where it reaches one spacecraft, then later another...

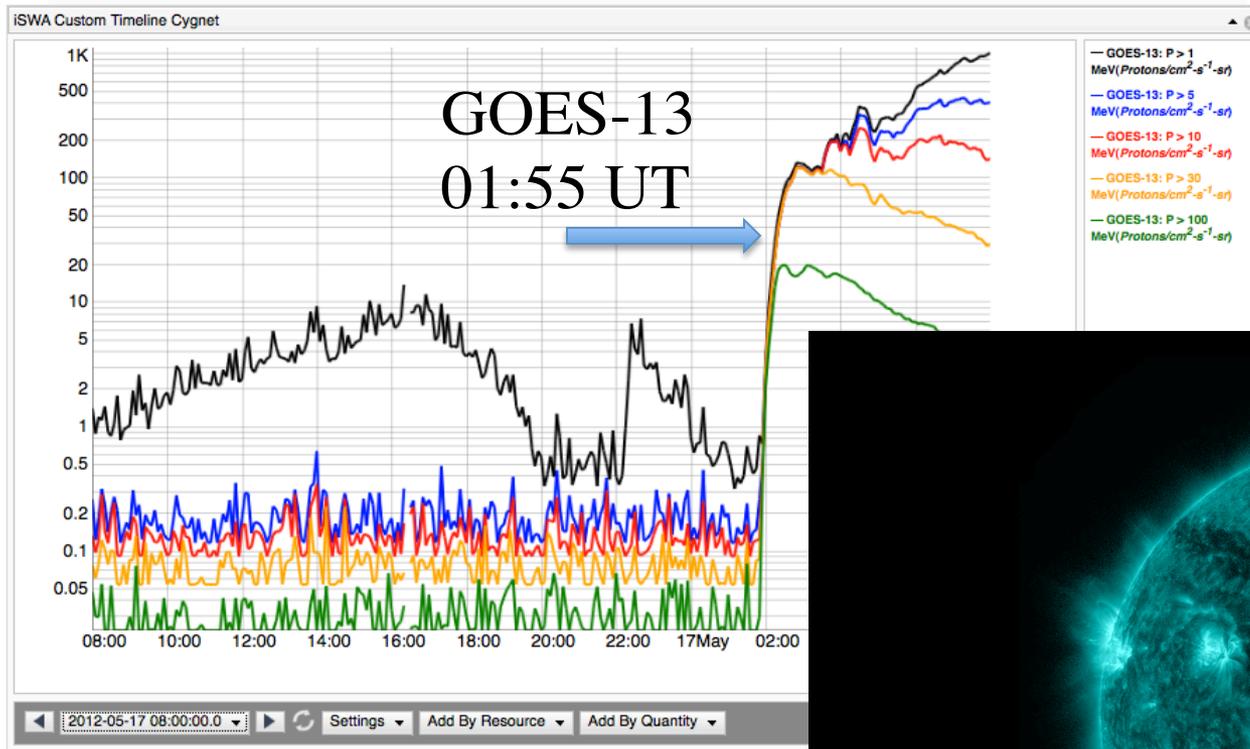


July 23 flare as seen in  
STEREO A EUVI 195

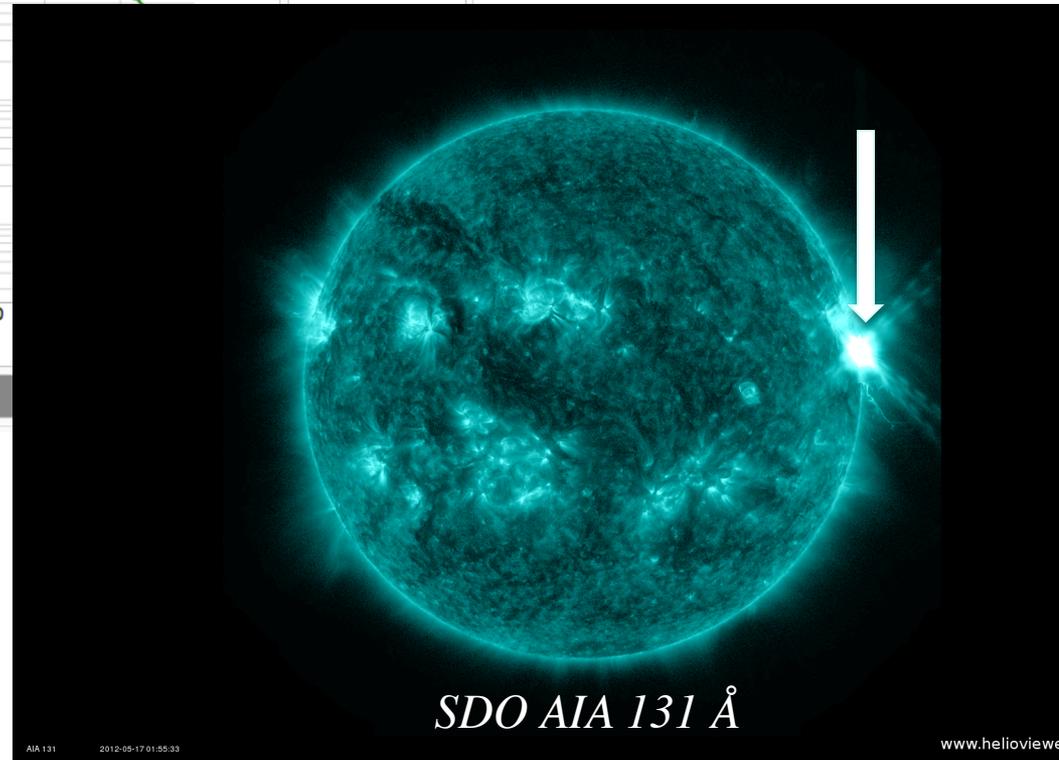


Increase of more than 5 orders of magnitude at STEREO A  
SEP event also detected by GOES,  
and later enhancement seen at  
STEREO B (possibly due to IPS)

# For Earth – Best Connection is 45-60 degree west

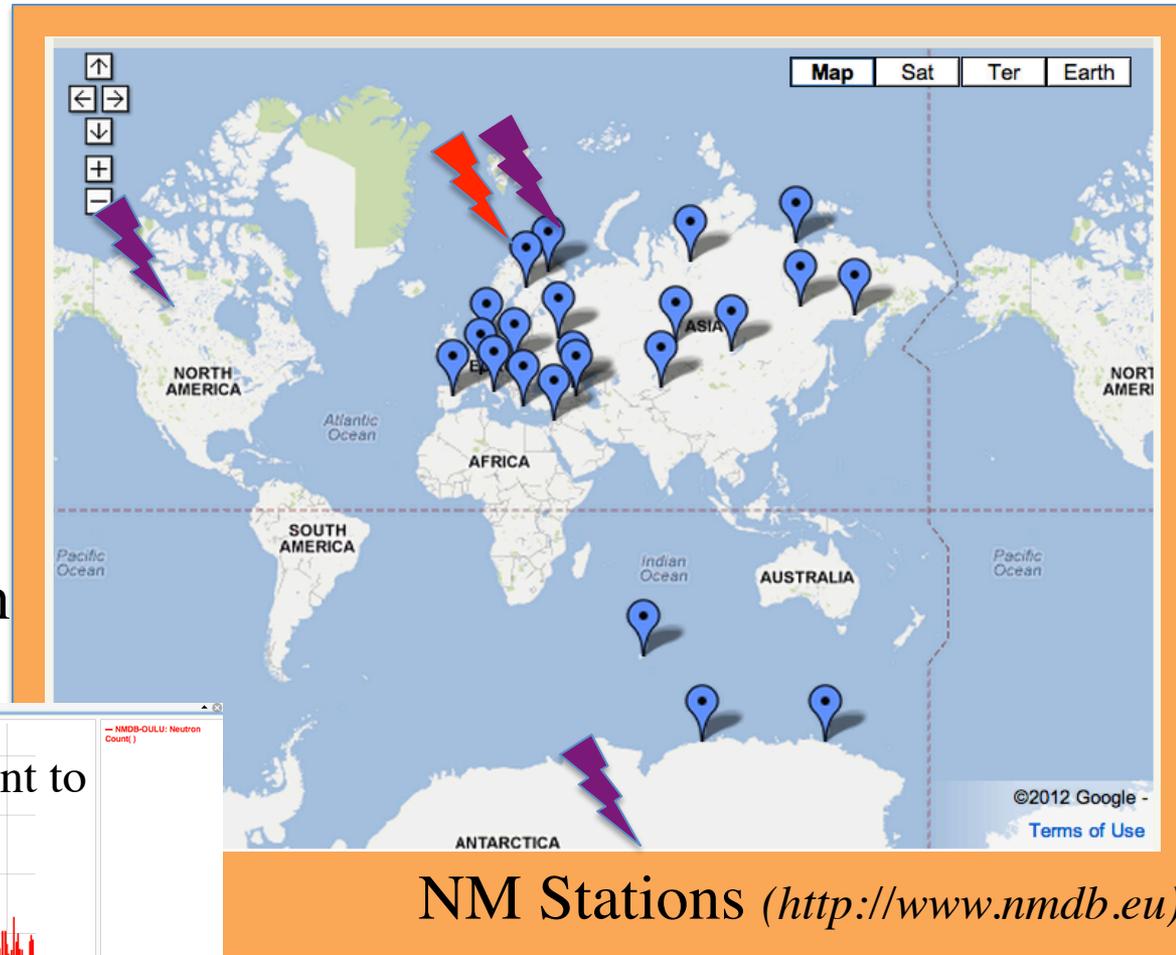


*Energetic proton fluxes elevated for >12 hours*

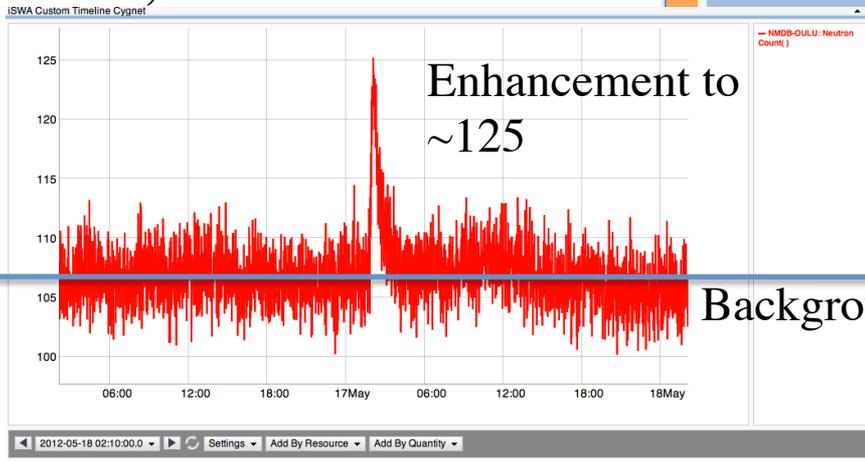


# Ground Level Enhancement

A subset of SEP events, a GLE event occurs when extremely high energy protons ( $>500$  MeV/nuc) penetrate the Earth's atmosphere. Collisions with atoms generate secondary particles that are measured at neutron monitoring (NM) stations on the ground.



## Neutron Monitoring Station in Oulu, Finland



Background ~105

NM Stations (<http://www.nmdb.eu>)

# What causes strongest SEP events? Or, how do the drivers relate to the SEP Flux?

Difficult to distinguish GLE from traditional SEP events:

- Complexity of Active Region (AR)
  - Most young, more compact
- Magnetic connectivity of AR
  - About ~50% are well connected
- Magnitude of flare
  - Average X3.8, but as low as M7.1
  - Long duration
- Magnitude of CME
  - Range of speeds (~2,000 km/s average, but four events <1,500 km/s)
- Seed particles
  - Known to have harder spectrum

*Gopalswamy et al. 2012, Li et al. 2012, Mewaldt et al. 2012*

**Table 1** GLE events and associated flares and CMEs (adopted from Gopalswamy et al. 2010)

GLE			Max Int (%) <sup>a</sup>	Flare		CME	
Onset ID	Date	Time <sup>a</sup>		GOES Class	Location	POS speed (km/s)	Width (degs)
55	1997/11/06	12:10	11.3	X9.4	S18W63	1556	360
56	1998/05/02	13:55	6.8	X1.1	S15W15	938	360
57	1998/05/06	08:25	4.2	X2.7	S11W66	1099	190
58	1998/08/24	22:50	3.3	X1.0	N35E09	<sub>b</sub>	<sub>b</sub>
59	2000/07/14	10:30	29.3	X5.7	N22W07	1674	360
60	2001/04/15	14:00	56.7	X14	S20W85	1199	167
61	2001/04/18	02:35	13.8	C2.2	S20W116	2465	360
62	2001/11/04	17:00	3.3	X1.0	N06W18	1810	360
63	2001/12/26	05:30	7.2	M7.1	N05W54	1446	>212
64	2002/08/24	01:18	5.1	X3.1	S02W81	1913	360
65	2003/10/28	11:22	12.4	X17	S18E18	2459	360
66	2003/10/29	21:30	8.1	X10	S18W04	2029	360
67	2003/11/02	17:30	7.0	X8.3	S18W57	2598	360
68	2005/01/17	09:55	3.0	X3.8	N14W25	2547	360
69	2005/01/20	06:51	277.3	X7.1	N14W61	3242 <sup>c</sup>	360
70	2006/12/13	02:45	92.3	X3.4	S06W23	1774	360

<sup>a</sup>According to the Oulu Neutron Monitor

<sup>b</sup>No SOHO LASCO data

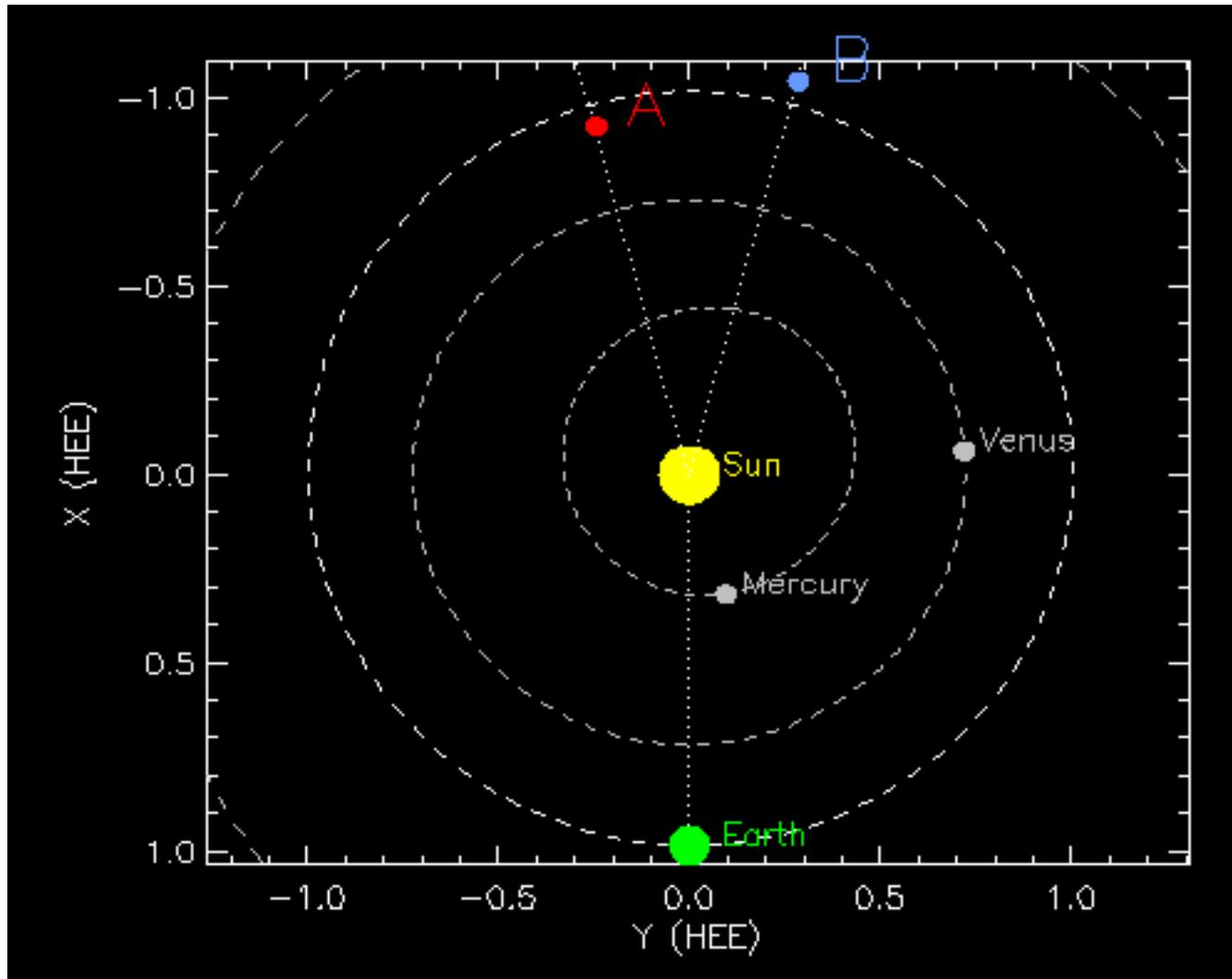
<sup>c</sup>From Gopalswamy et al. (2010). There are different estimates (see Grechnev et al. 2008)

*Nitta et al. 2012*

CME-driven shocks are thought to play important role in low (<3R<sub>s</sub>) corona

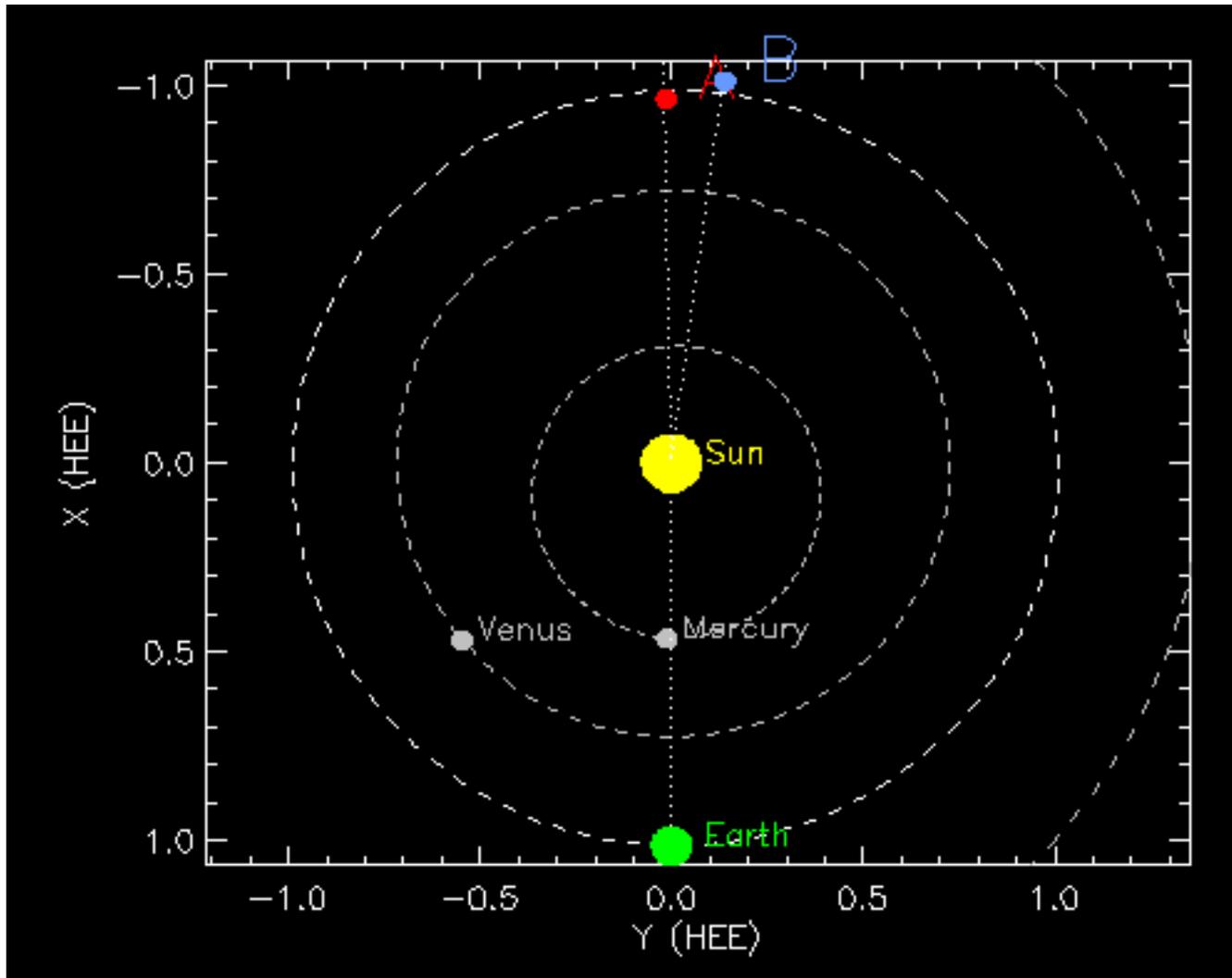
- Only imaged in mid-high corona (*Ontiveros & Vourlidas 2009*)
- Type II radio bursts
- Multiple CME events – doesn't apply for May 17 event

# Where are NASA assets now?



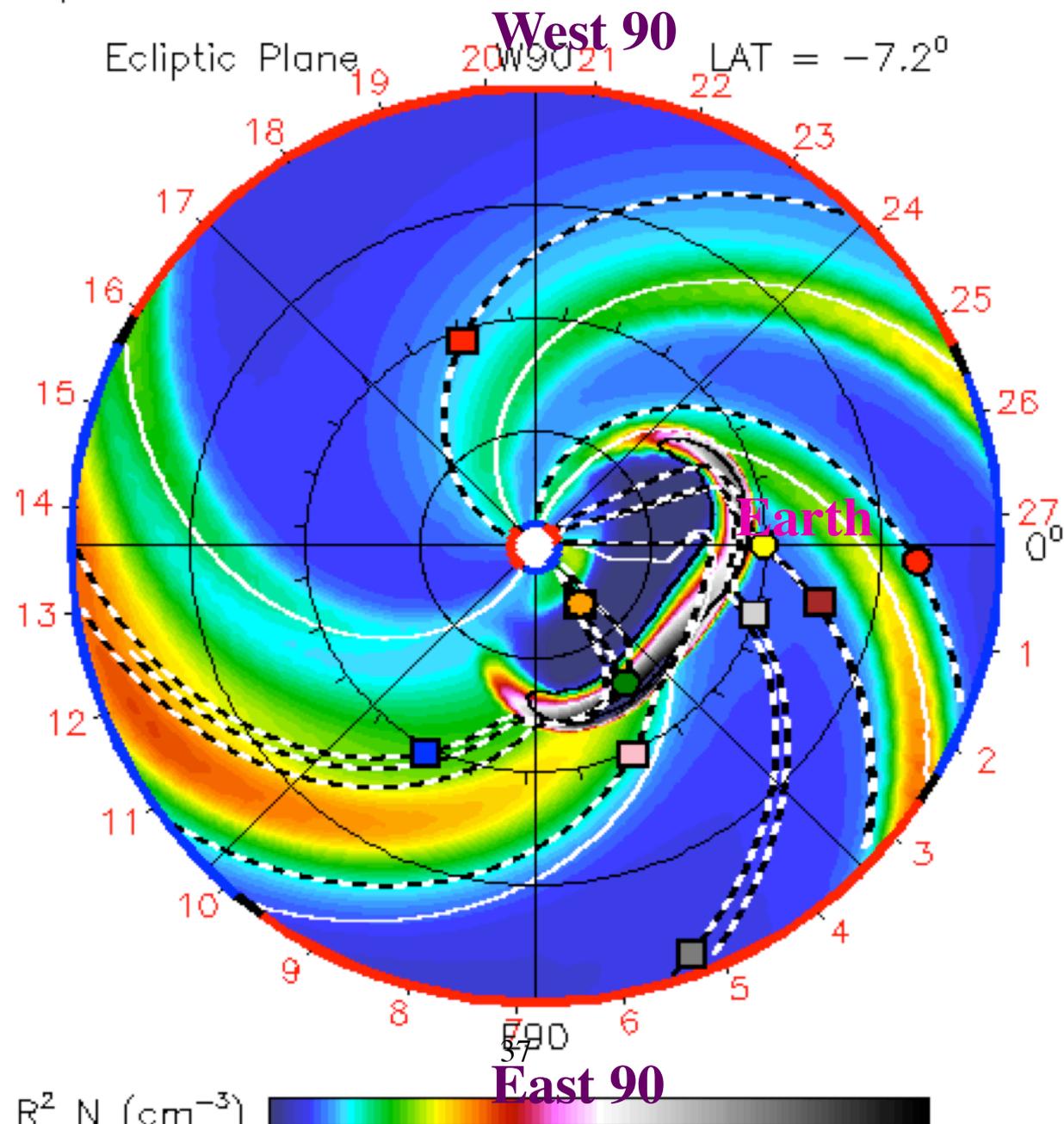
Jan 18, 2016

# Where are NASA assets now?



May 29, 2015

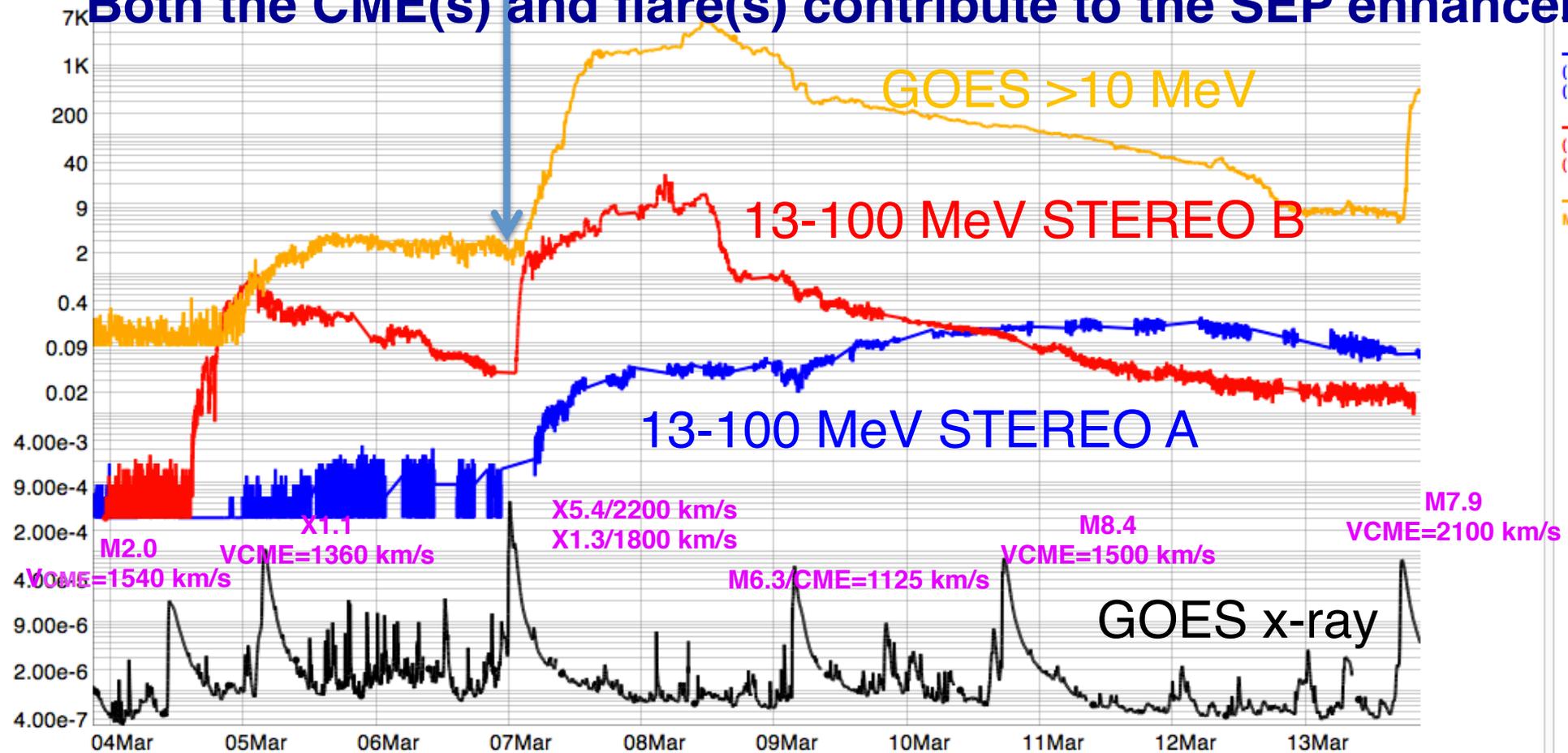
- Earth      ● Mars      ● Mercury      ● Venus      ■
- Spitzer      ■ Stereo\_A      ■ Stereo\_B



# SEP: proton radiation

SWA Custom Timeline Cygnet

Both the CME(s) and flare(s) contribute to the SEP enhancement



2012-03-13 17:00:00.0

Settings

Add By Resource

Add By Quantity

# SEP Layout

[http://bit.ly/alert\\_SEP\\_layout](http://bit.ly/alert_SEP_layout)

Get rid of ‘:8080’ after ‘iswa.gsfc.nasa.gov’

[http://bit.ly/SEP\\_layout\\_20150316event](http://bit.ly/SEP_layout_20150316event)

# Environment Hazards for different orbits

Space hazard	Spacecraft charging		Single-event effects			Total radiation dose		Surface degradation		Plasma interference with communications	
	Surface	Internal	Cosmic rays	Trapped radiation	Solar particle	Trapped radiation	Solar particle	Ion sputtering	O <sup>+</sup> erosion	Scintillation	Wave refraction
LEO <60°	Not applicable	Not applicable	Relevant	Important	Not applicable	Important	Relevant	Relevant	Important	Important	Important
LEO >60°	Relevant	Not applicable	Important	Important	Important	Important	Relevant	Relevant	Important	Important	Important
MEO	Important	Important	Important	Important	Important	Important	Important	Relevant	Not applicable	Important	Important
GPS	Important	Important	Important	Not applicable	Important	Important	Important	Relevant	Not applicable	Important	Important
GTO	Important	Important	Important	Important	Important	Important	Important	Relevant	Not applicable	Important	Important
GEO	Important	Important	Important	Not applicable	Important	Important	Important	Relevant	Not applicable	Important	Important
HEO	Important	Important	Important	Important	Important	Important	Important	Relevant	Not applicable	Important	Important
Inter-planetary	Not applicable	Not applicable	Important	Not applicable	Important	Not applicable	Important	Relevant	Not applicable	Relevant	Relevant

Important
  Relevant
  Not applicable